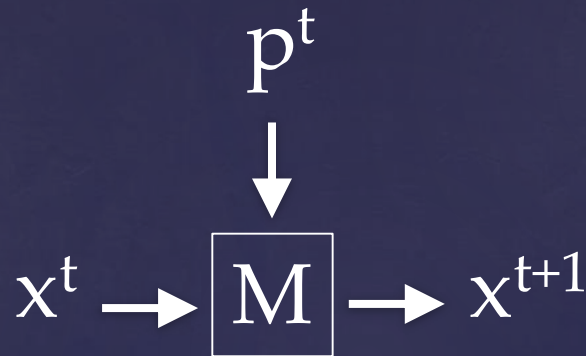


# Regional Climate Modeling

{ A Land Surface Modeling Perspective

Michael Barlage  
Research Applications Laboratory  
National Center for Atmospheric Research

# The *Model*



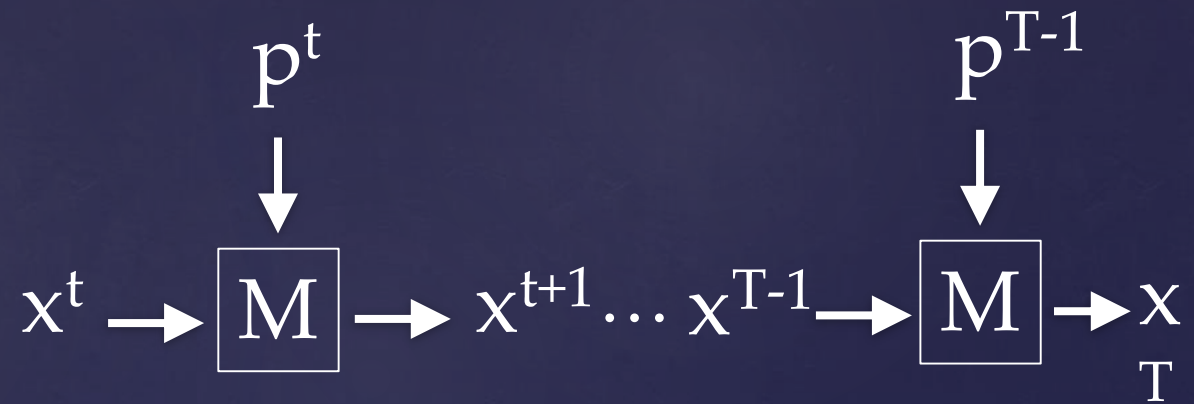
$x^t$ : state variables at time  $t$  (e.g., temperature)

$p^t$ : parameters/forcing at time  $t$  (e.g., leaf refl.)

$M$ : the model

$x^{t+1}$ : state variables at time  $t+1$

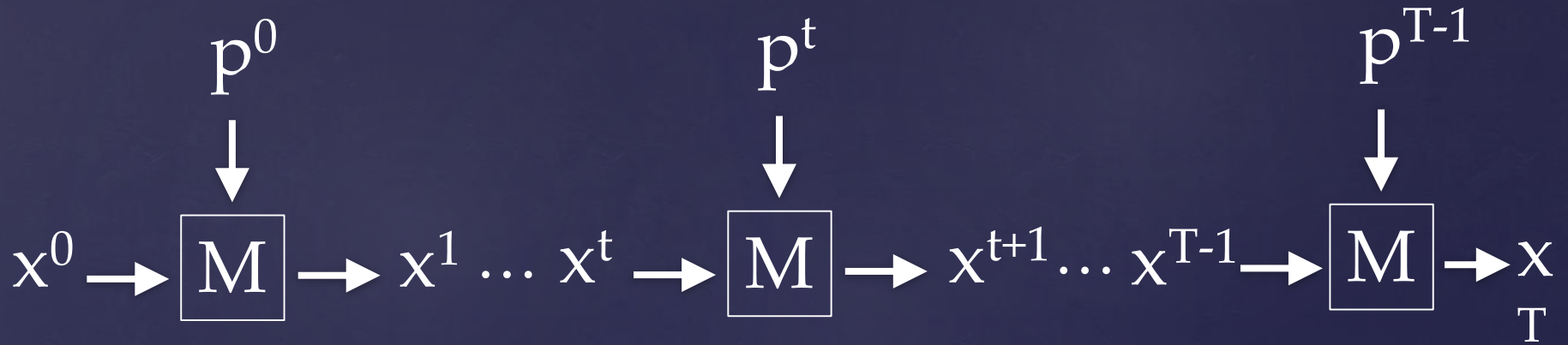
# The *Model*



$x^T$  : state variables at final time  $T$

$p^{T-1}$  : parameters/forcing at time  $T-1$

# The *Model*



$x^0$  : state variables at initial time

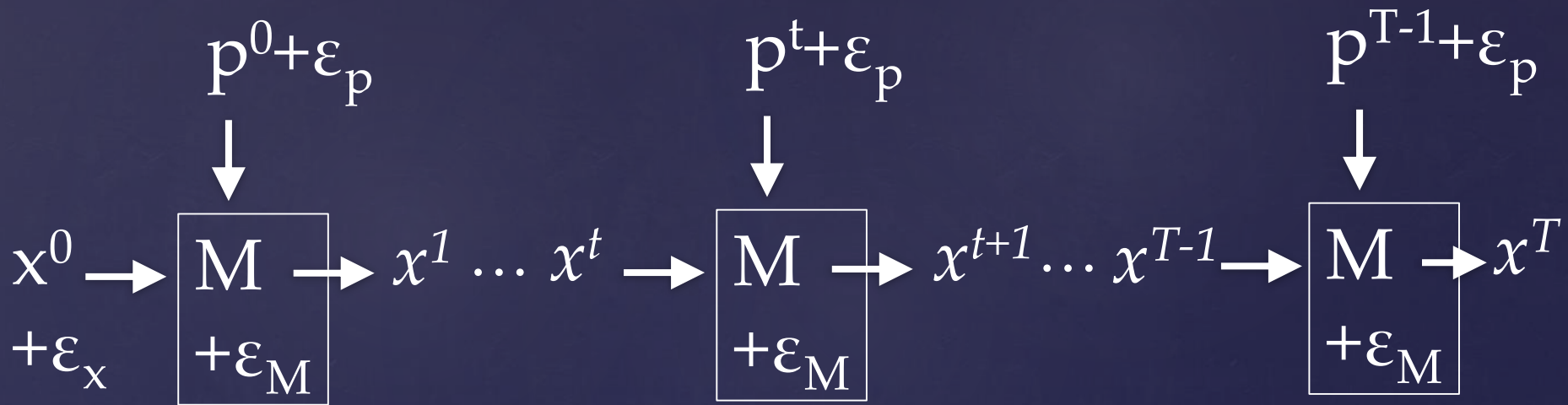
$p^0$  : parameters/forcing at initial time

$M$  : the model

$x^1$  : state variables at time 1



# The *Model* with uncertainty



$\varepsilon_x$  : initial state uncertainty

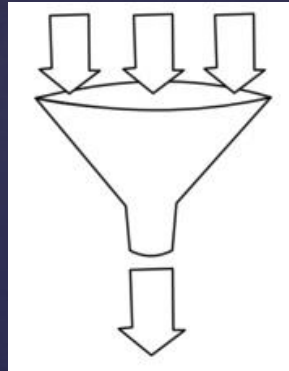
$\varepsilon_M$  : model uncertainty

$\varepsilon_p$  : parameter/forcing uncertainty

$x^t$  : state variables with accumulated uncertainty

# The Earth System Model

$$\begin{aligned}\partial_t U + (\nabla \cdot \mathbf{V}u)_\eta + \mu_d \alpha \partial_x p + (\alpha/\alpha_d) \partial_\eta p \partial_x \phi &= F_U \\ \partial_t V + (\nabla \cdot \mathbf{V}v)_\eta + \mu_d \alpha \partial_y p + (\alpha/\alpha_d) \partial_\eta p \partial_y \phi &= F_V \\ \partial_t W + (\nabla \cdot \mathbf{V}w)_\eta - g[(\alpha/\alpha_d) \partial_\eta p - \mu_d] &= F_W \\ \partial_t \Theta + (\nabla \cdot \mathbf{V}\theta)_\eta &= F_\Theta \\ \partial_t \mu_d + (\nabla \cdot \mathbf{V})_\eta &= 0 \\ \partial_t \phi + \mu_d^{-1}[(\mathbf{V} \cdot \nabla \phi)_\eta - gW] &= 0 \\ \partial_t Q_m + (\mathbf{V} \cdot \nabla q_m)_\eta &= F_{Q_m}\end{aligned}$$

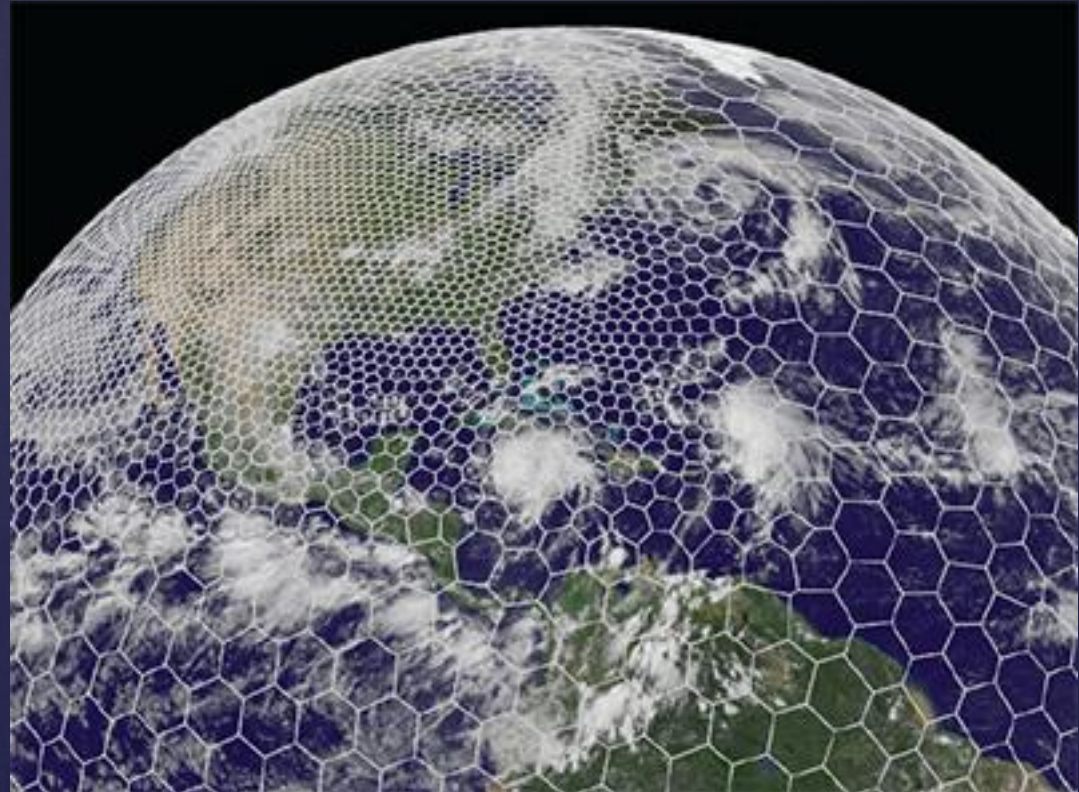


M

# Model Flavors

- Operational models typically come in two sizes:
  - global (NCAR CESM; NOAA GFS/CFS; ECMWF; etc.)
- Relatively low spatial resolution (~25 - 50km)
- Used to predict climate (seasonal to decadal) and medium range weather (~10days)

NCAR MPAS  
model using  
stretched grid



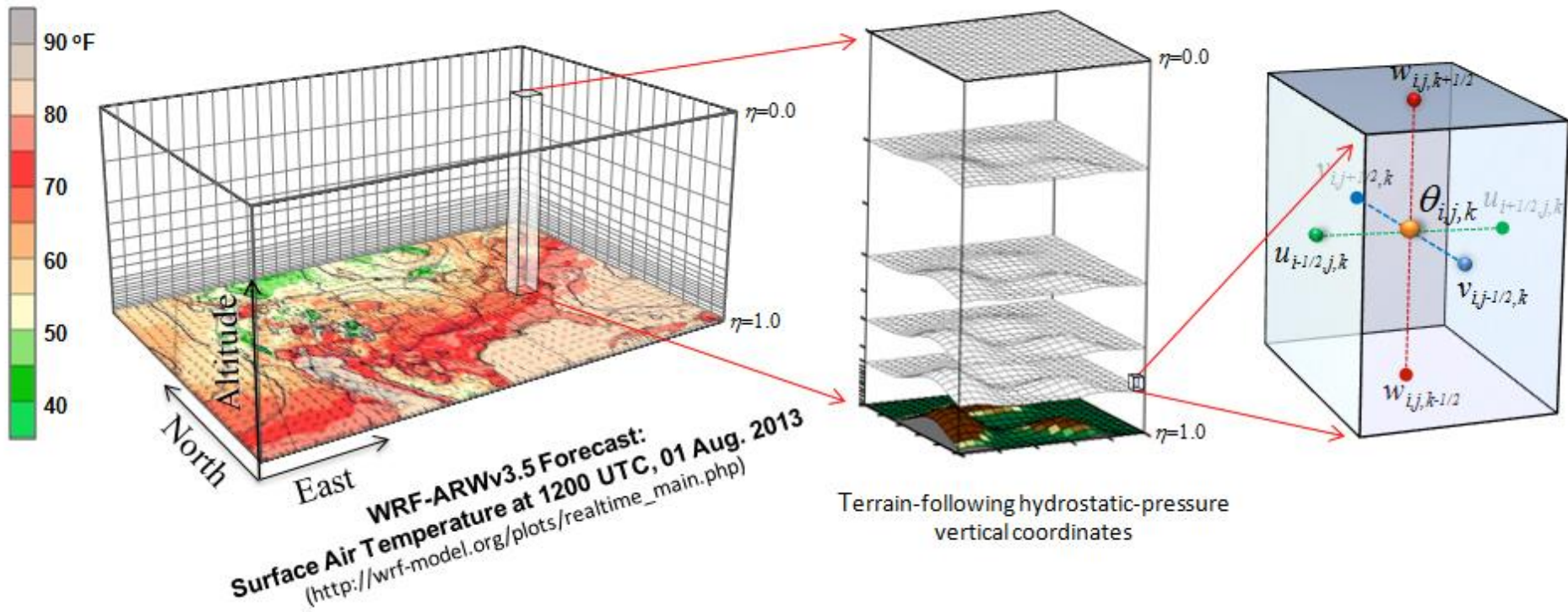


# Model Flavors

Operational models typically come in two sizes:  
regional (NCAR WRF; NOAA NAM/RUC/HRRR)

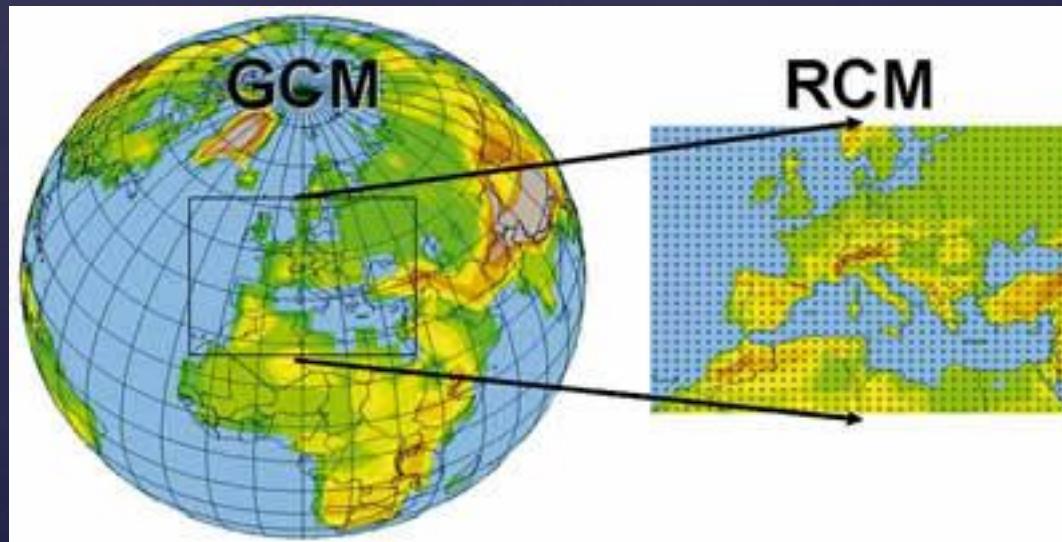
Relatively high spatial resolution ( $\sim 1\text{km}$ )

Used to predict weather and “downscale” climate models  
NCAR WRF model



# Global vs. Regional

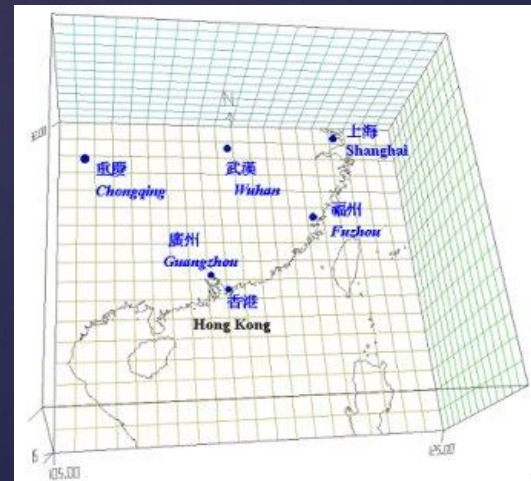
- Global models have no lateral boundaries – they stretch around the planet
- Regional or limited-area models are spatial subsets of the planet – the easiest method for execution is to embed them in global models
- Why do regional climate modeling?
  - Increased spatial and temporal scales, output products



# Dynamical vs. Statistical

- Dynamical models: physics-based models that may or may not have components inspired by statistics
- Statistical models: statistics-based models that may or may not be inspired by physics

$$\begin{aligned}\partial_t U + (\nabla \cdot \mathbf{V}u)_\eta + \mu_d \alpha \partial_x p + (\alpha/\alpha_d) \partial_\eta p \partial_x \phi &= F_U \\ \partial_t V + (\nabla \cdot \mathbf{V}v)_\eta + \mu_d \alpha \partial_y p + (\alpha/\alpha_d) \partial_\eta p \partial_y \phi &= F_V \\ \partial_t W + (\nabla \cdot \mathbf{V}w)_\eta - g[(\alpha/\alpha_d) \partial_\eta p - \mu_d] &= F_W \\ \partial_t \Theta + (\nabla \cdot \mathbf{V}\theta)_\eta &= F_\Theta \\ \partial_t \mu_d + (\nabla \cdot \mathbf{V})_\eta &= 0 \\ \partial_t \phi + \mu_d^{-1}[(\mathbf{V} \cdot \nabla \phi)_\eta - gW] &= 0 \\ \partial_t Q_m + (\mathbf{V} \cdot \nabla q_m)_\eta &= F_{Q_m}\end{aligned}$$

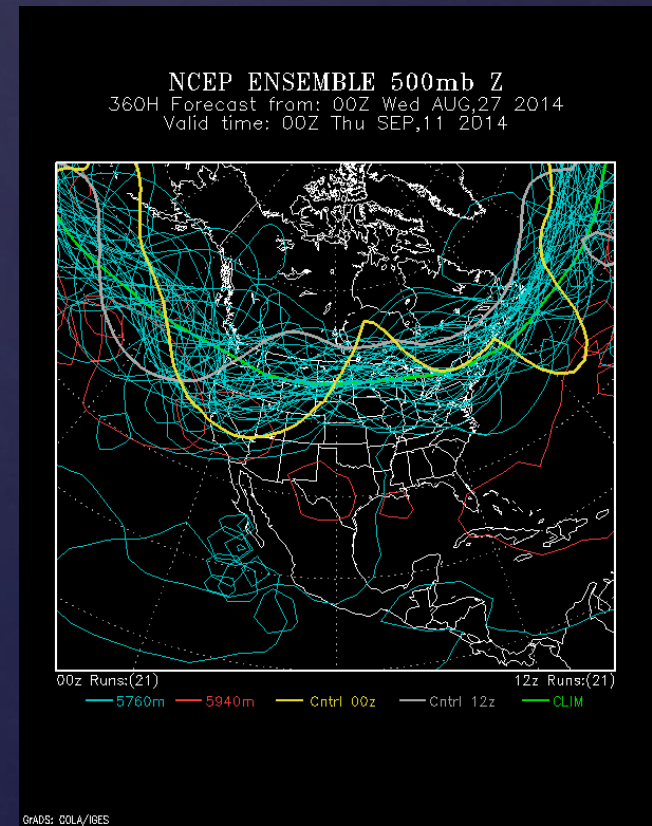
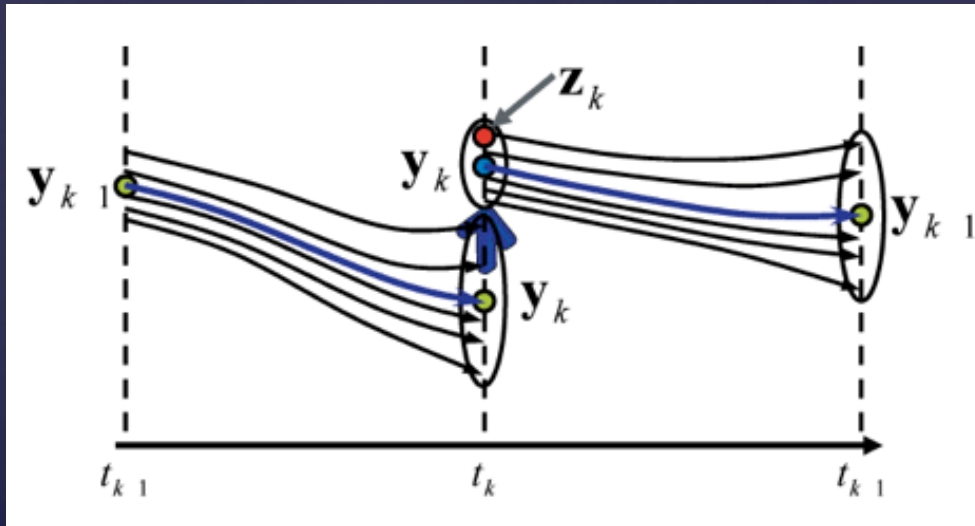


When physics-based models fail, it is not the fault of the physics, but the application of the physics or the statistics



# Ensemble Models

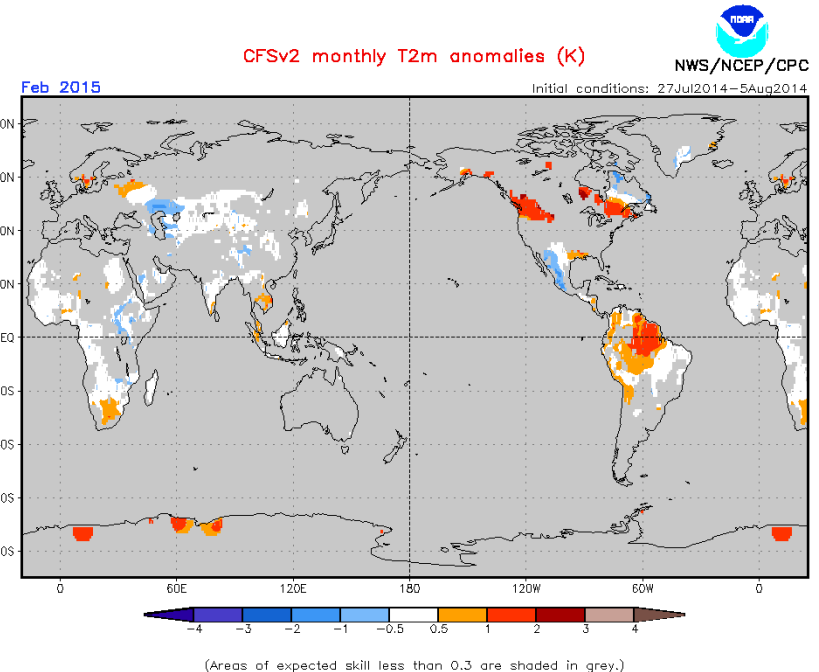
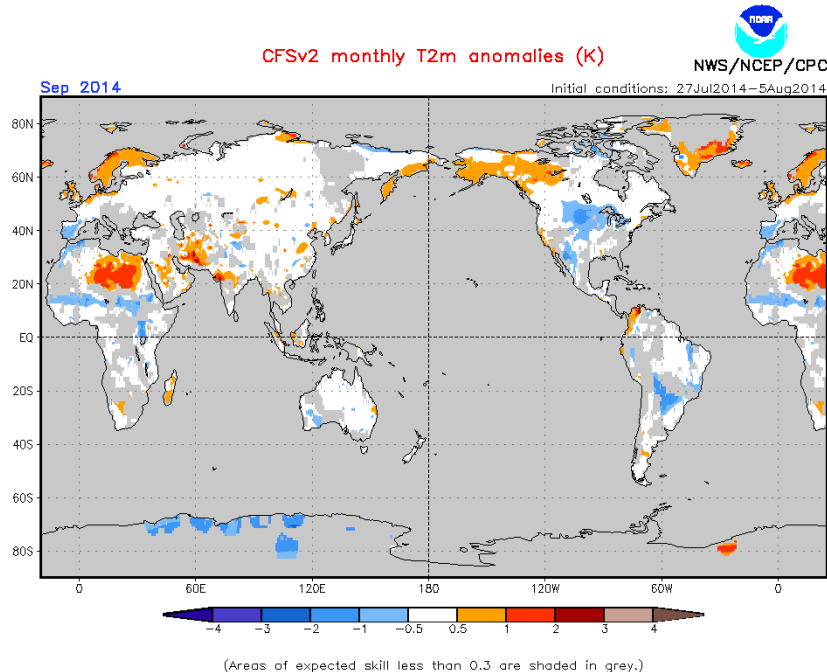
- Model results spread due to initialization, parameter and model uncertainty
- Done by many operational centers to create probability forecasts





# Ensemble Models

- Operation Climate Forecast System at NCEP uses a staggered initialization to produce an ensemble forecast (10-day lags)
- Shading implies lack of skill in the forecast

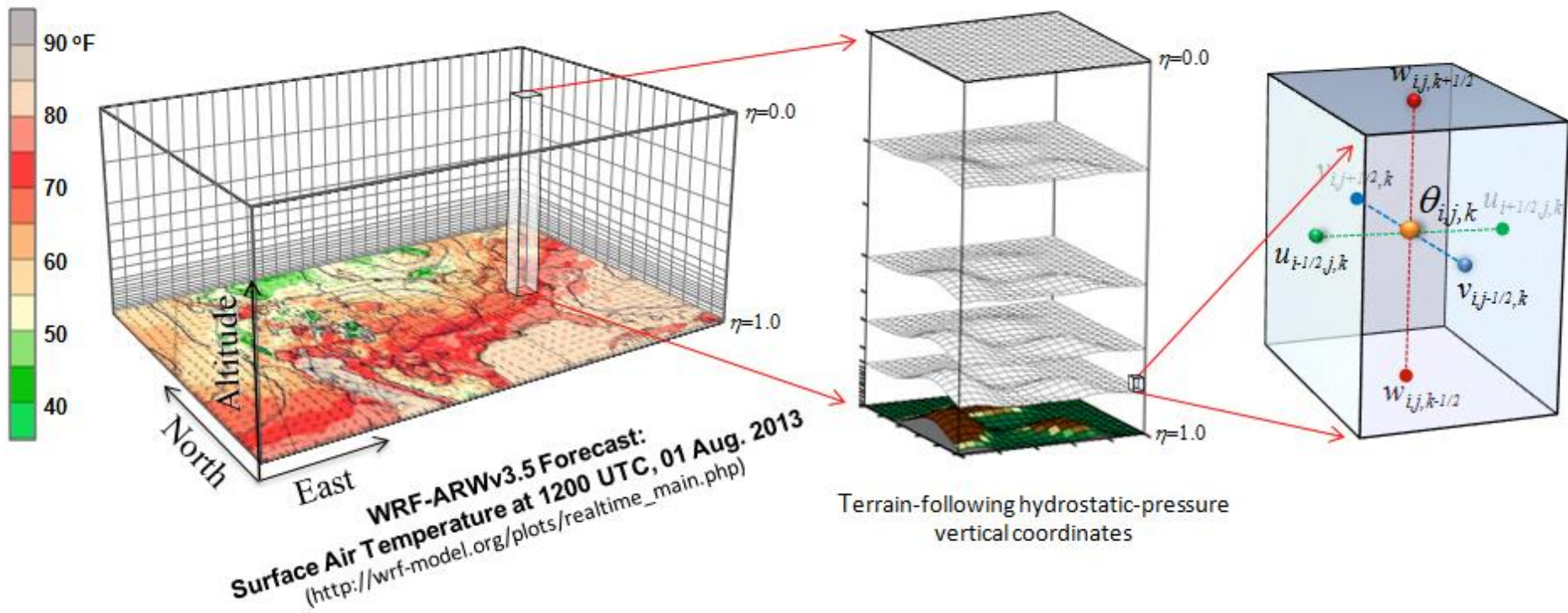


# The Model Grid: Why a grid?

$$\frac{dT}{dz} = \frac{T(z_2) - T(z_1)}{z_2 - z_1}$$

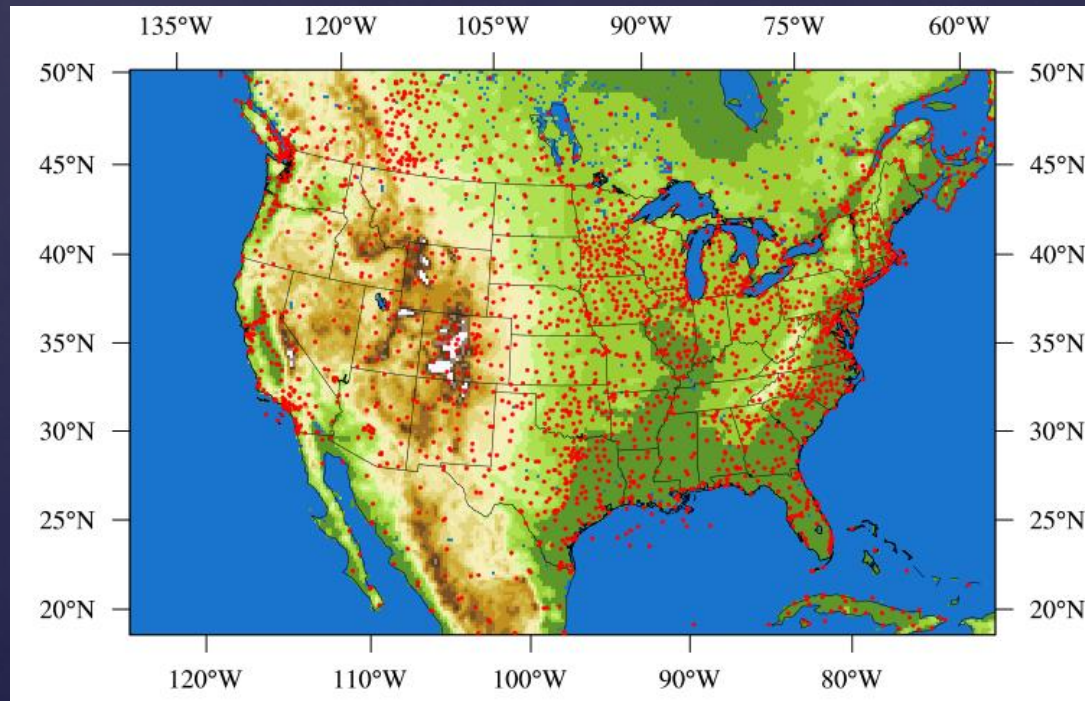
Discretization of the equations that solve for the future  
Challenge: initialization

NCAR WRF model



# Initialization Uncertainty

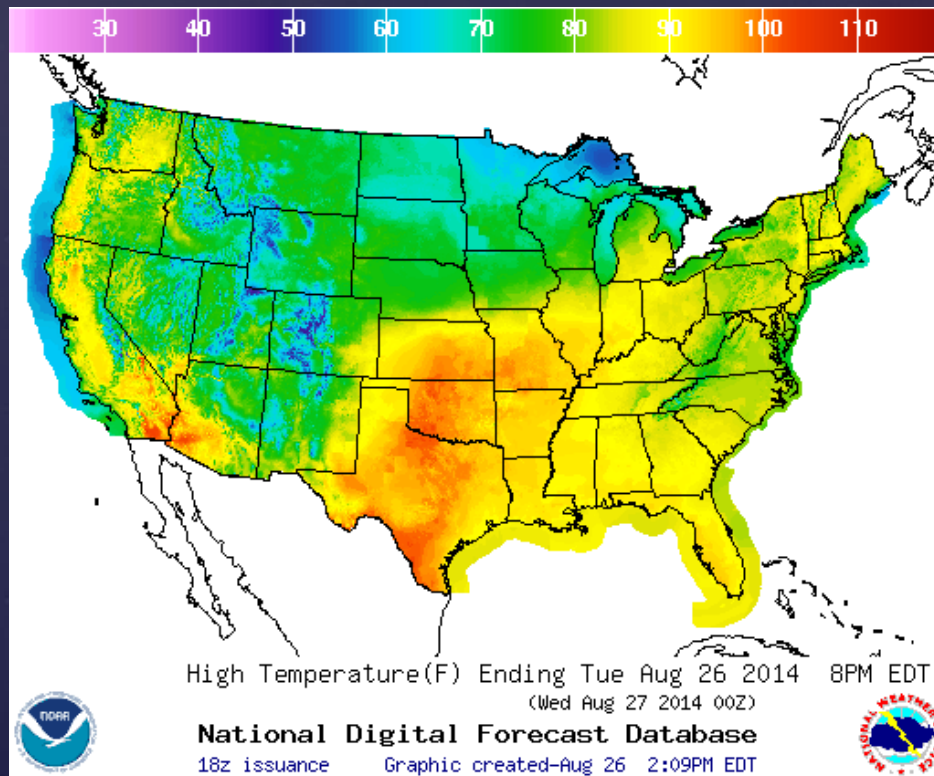
- Initialization is usually done with
  - Observations
  - Previous model output
  - A blending of observations and model output
- Here is an example of surface reporting stations





# Initialization Uncertainty

- Initialization is usually done with
  - Observations
  - Previous model output
  - A blending of observations and model output
- Here is an example of near-surface temperature



Where is the  
most variation?

# Initialization Uncertainty

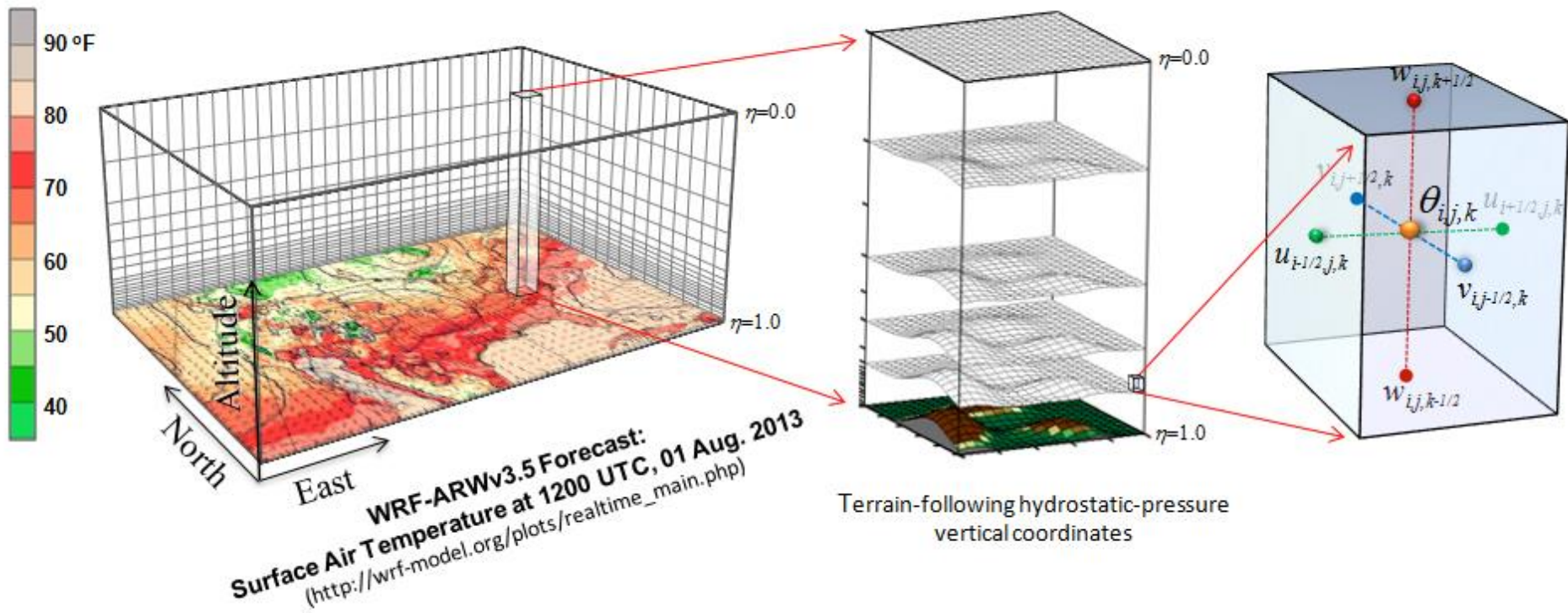
- Initialization is usually done with
  - Observations
  - Previous model output
  - A blending of observations and model output
- Here is an example of atmospheric temperature locations



How to fill the gaps?

# Sides of the Box

- Energy, mass, and water flow through the sides of the box and one must provide this information to the model running inside the box
- Typically, a global model or a larger-area regional model is used to supply this information across the lateral boundaries





# Parameterization

- What about the sub-grid scale?
- This is where one must use (physically-based) statistical relations to “parameterize” what is happening.
- What is parameterized?
  - Clouds – Microphysics – Precipitation
  - Convection
  - Radiation
  - Boundary Layer
  - Land Surface – plants, soil, snow
- The land surface is important for forecasts of all time scales.

Why?

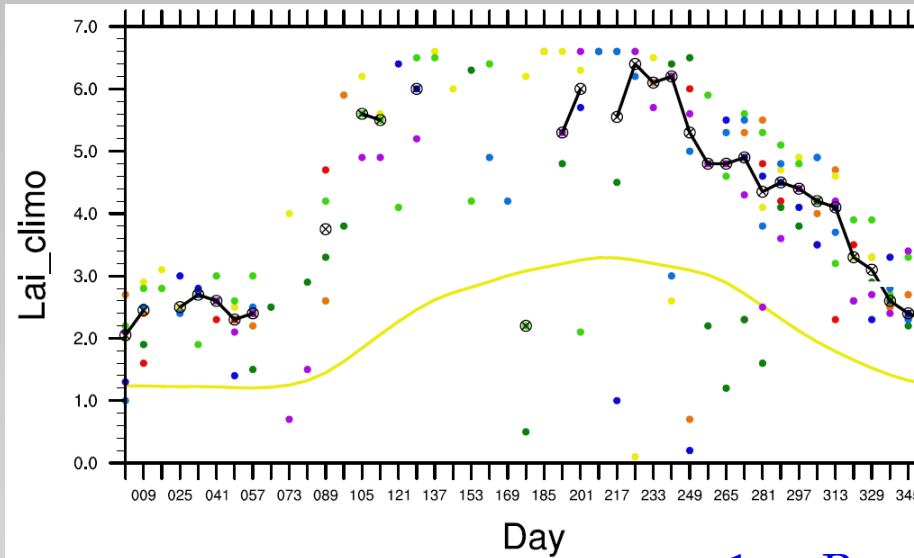
- Memory – significant sources exist in soil (water and energy), snow and vegetation

Challenge: parameter specification

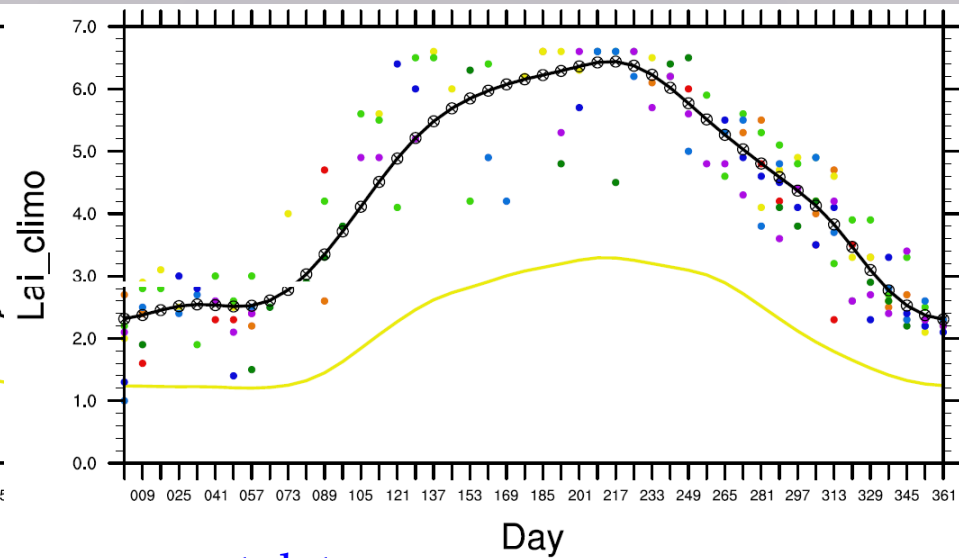


# Parameter Uncertainty: Vegetation

Original Pixel Data



Final Smooth Climatology



1. Remove suspect data
2. Fill missing data
3. Smooth

## Marks

— Individual value of year

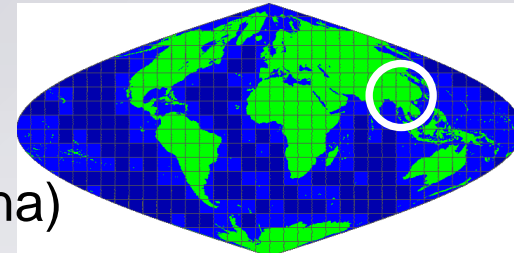
2001 2002 2003 2004 2005 2006 2007 2008



## Lines

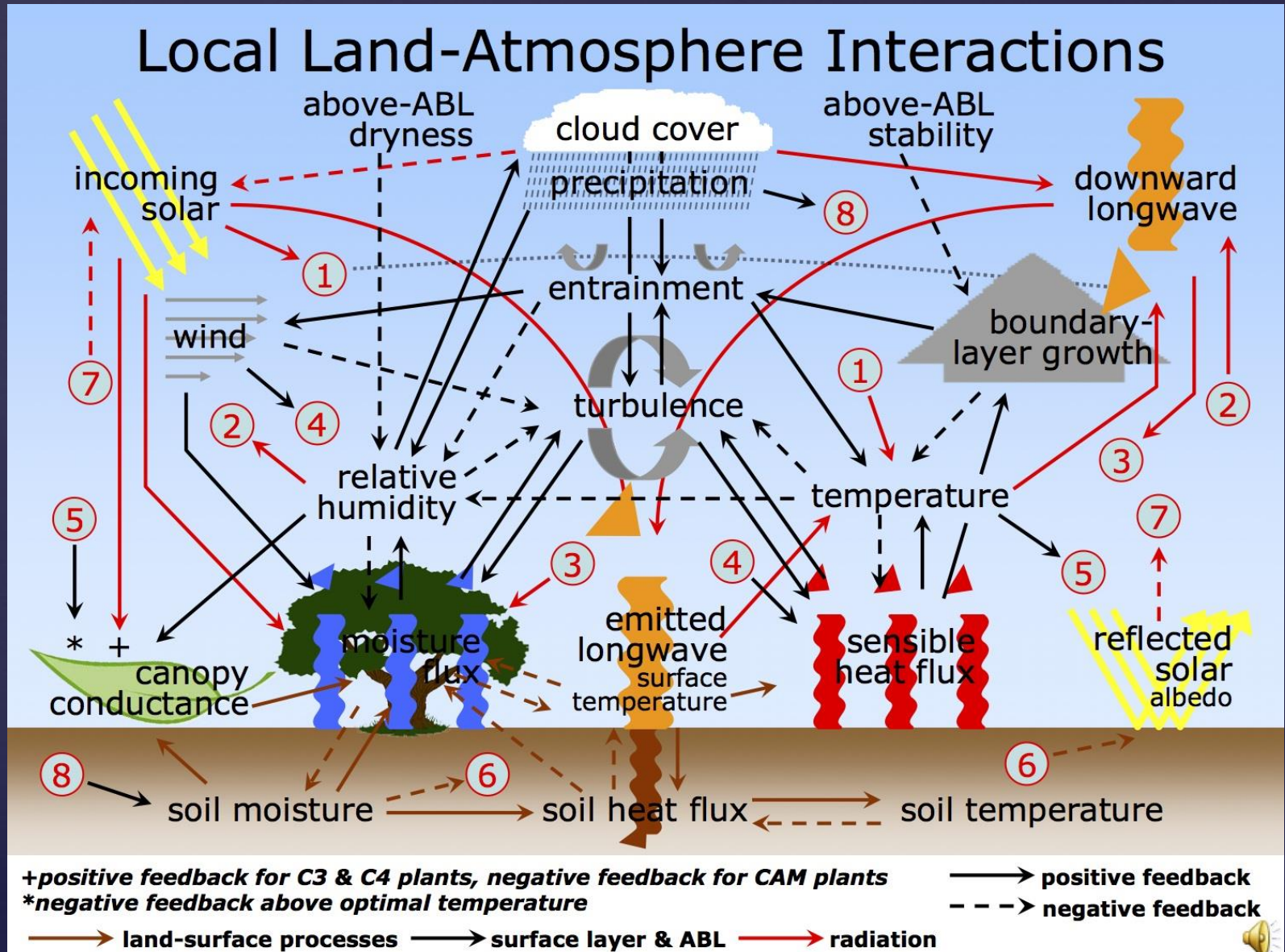
— black: median

— yellow: tile climo (Savanna)



# The Bottom Boundary

- Where it all happens (land modeler's perspective)



Courtesy  
Mike Ek  
(NOAA)

# Parameterization

- Land surface modeling is moving beyond just being a source of energy and water fluxes to the atmosphere
- Land surface models now focus on a more process-based approach instead of a bulk representation
- Land surface models can now produce detailed surface states
  - Vegetation temperature
  - Soil layer temperature and moisture
  - Snow depth and water
  - Vegetation, including crop, growth
  - Upper soil – aquifer interactions



# Weather Research and Forecasting (WRF) Model

Widely-used “community model” for both research and operational forecasting

- Academic scientists
- Forecast teams at operational centers
- Applications communities (e.g. Air Quality, Agriculture, Hydrology, regional climate, Utilities)



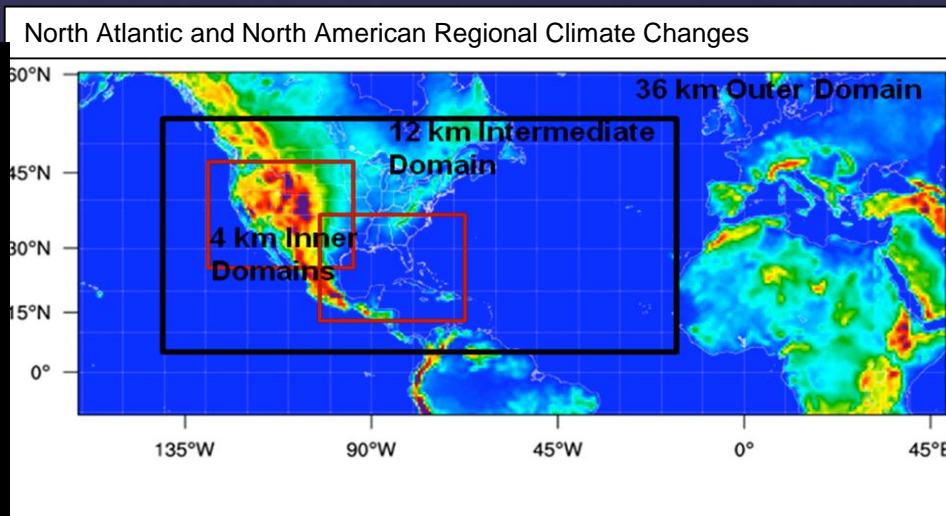
**Registered Users 1/1/14**

American universities,  
Govt. labs, Private sector      6782

Foreign users      14775

-----  
21557

Countries represented: 152

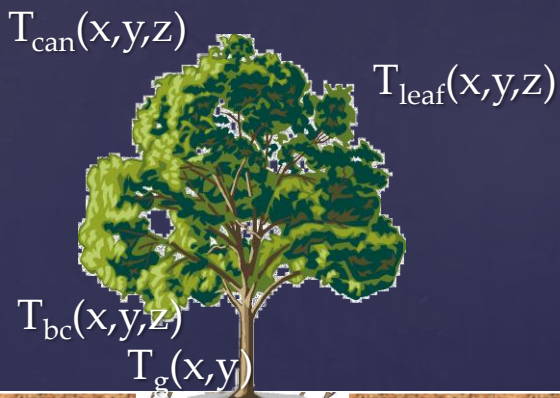


# Model Uncertainty: Land Surface Model Structure

Noah LSM in NOAA Eta, NAM, GFS, CFS, MM5 and WRF Models  
(Pan and Mahrt 1987, Chen et al. 1996, Chen and Dudhia 2001, Ek et al., 2003)

Noah-MP LSM in WRF and NOAA GFS (Yang et al., 2011; Niu et al., 2011)

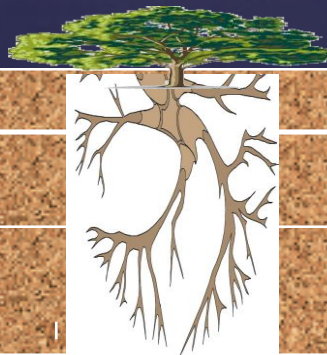
Reality



Noah

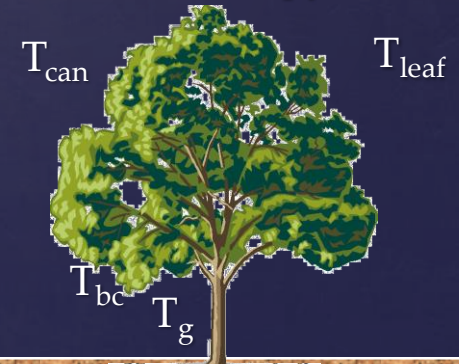
Single surface temperature

$T_{\text{skin}}$



Noah-MP

Multiple surface temperatures and distinct canopy





# Noah-MP: a community land model

Parameterization → sub-grid → uncertainty

JOURNAL OF GEOPHYSICAL RESEARCH, VOL. 116, D12109, doi:10.1029/2010JD015139, 2011

## **The community Noah land surface model with multiparameterization options (Noah-MP):**

### **1. Model description and evaluation with local-scale measurements**

Guo-Yue Niu,<sup>1,2</sup> Zong-Liang Yang,<sup>1</sup> Kenneth E. Mitchell,<sup>3</sup> Fei Chen,<sup>4</sup> Michael B. Ek,<sup>3</sup>  
Michael Barlage,<sup>4</sup> Anil Kumar,<sup>5</sup> Kevin Manning,<sup>4</sup> Dev Niyogi,<sup>6</sup> Enrique Rosero,<sup>1,7</sup>  
Mukul Tewari,<sup>4</sup> and Youlong Xia<sup>3</sup>

Received 4 October 2010; revised 3 February 2011; accepted 27 March 2011; published 24 June 2011.

## **The community Noah land surface model with multiparameterization options (Noah-MP):**

### **2. Evaluation over global river basins**

Zong-Liang Yang,<sup>1</sup> Guo-Yue Niu,<sup>1,2</sup> Kenneth E. Mitchell,<sup>3</sup> Fei Chen,<sup>4</sup> Michael B. Ek,<sup>3</sup>  
Michael Barlage,<sup>4</sup> Laurent Longuevergne,<sup>5</sup> Kevin Manning,<sup>4</sup> Dev Niyogi,<sup>6</sup>  
Mukul Tewari,<sup>4</sup> and Youlong Xia<sup>3</sup>

Received 4 October 2010; revised 4 February 2011; accepted 25 March 2011; published 24 June 2011.

# Noah-MP: a community land model

- Multiple parameterizations to treat key hydrology-snow-vegetation processes in a single land modeling framework
- In a broad sense,
  - Multi-physics  $\equiv$  Multi-hypothesis
- A modular & powerful framework for
  - Diagnosing differences in process representation
  - Identifying structural errors
  - Improving understanding of physical processes
  - Enhancing data/model fusion and data assimilation
  - Facilitating ensemble forecasts and uncertainty quantification



# Noah-MP: a community land model

1. Leaf area index (prescribed; predicted)
2. Turbulent transfer (Noah; NCAR LSM)
3. Soil moisture stress factor for transpiration (Noah; SSiB; CLM)
4. Canopy stomatal resistance (Jarvis; Ball-Berry)
5. Snow surface albedo (BATS; CLASS)
6. Frozen soil permeability (Noah; Niu and Yang, 2006)
7. Supercooled liquid water (Noah; Niu and Yang, 2006)
8. Radiation transfer:
  - Modified two-stream:  $\text{Gap} = f(\text{3D structure; solar zenith angle; ...}) \leq 1 - \text{GVF}$
  - Two-stream applied to the entire grid cell:  $\text{Gap} = 0$
  - Two-stream applied to fractional vegetated area:  $\text{Gap} = 1 - \text{GVF}$
9. Partitioning of precipitation to snowfall and rainfall (CLM; Noah)
10. Runoff and groundwater:
  - TOPMODEL with groundwater
  - TOPMODEL with an equilibrium water table (Chen&Kumar,2001)
  - Original Noah scheme
  - BATS surface runoff and free drainage

More to be added

# North American Regional Climate Simulations with WRF/Noah-MP: Validation and the effect of groundwater interaction

Michael Barlage

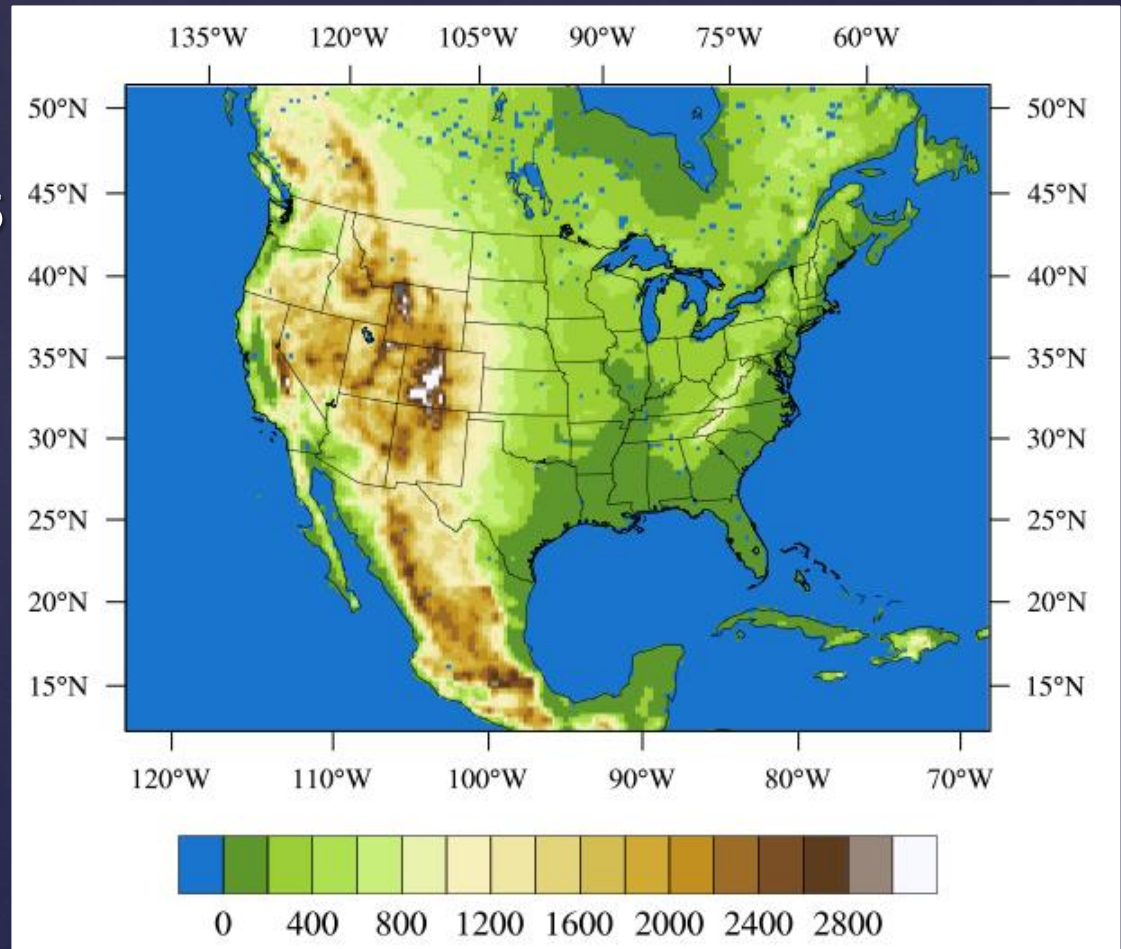
Mukul Tewari, Fei Chen, Kevin Manning (NCAR)

Gonzalo Miguez-Macho (U. Santiago)

14<sup>th</sup> WRF Users' Workshop

# Domain and Setup

- Two six-month 30km simulations starting Feb 25
- 2002 and 2010
- Spin-up soil for one year using offline HRLDAS
- IC/BC from NARR
- CAM radiation; YSU; Thompson



# Miguez-Macho & Fan water table dynamics in Noah-MP

## Equations:

Mass balance in groundwater storage:

Darcy's Law for groundwater – river exchange:

Darcy's Law for lateral groundwater flow:

$$\frac{dS_g}{dt} = \Delta x \Delta y R + \sum_1^8 Q_n - Q_r$$

$$Q_r = rc \times (wtd - riverbed)$$

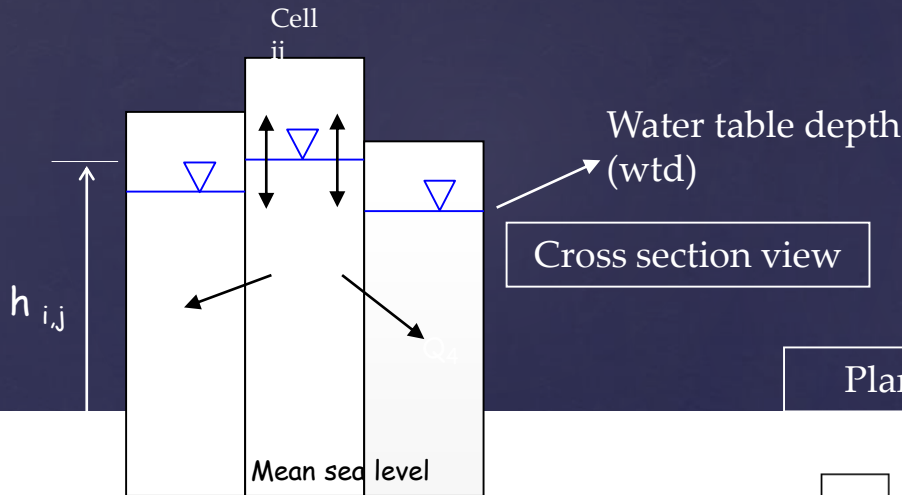
$$Q_n = w \cdot \left( \frac{\int_{wtd_n}^{\infty} K_n \cdot dz + \int_{wtd}^{\infty} K \cdot dz}{2} \right) \left( \frac{h_n - h}{s} \right)$$

conductivity

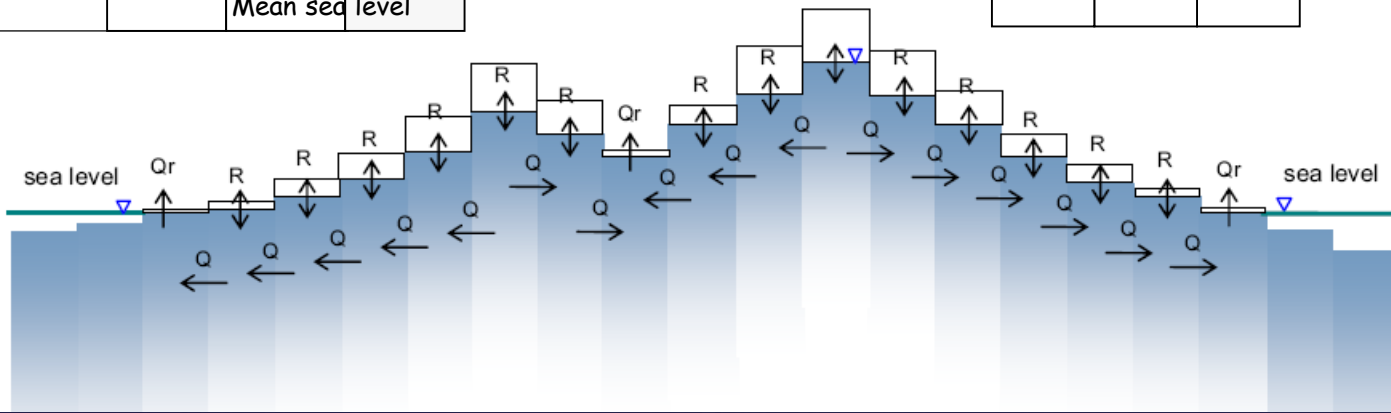
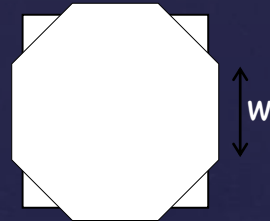
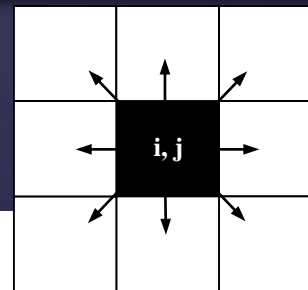
Transmissivity

Head difference divided by distance (water table slope)

width of flow cross section



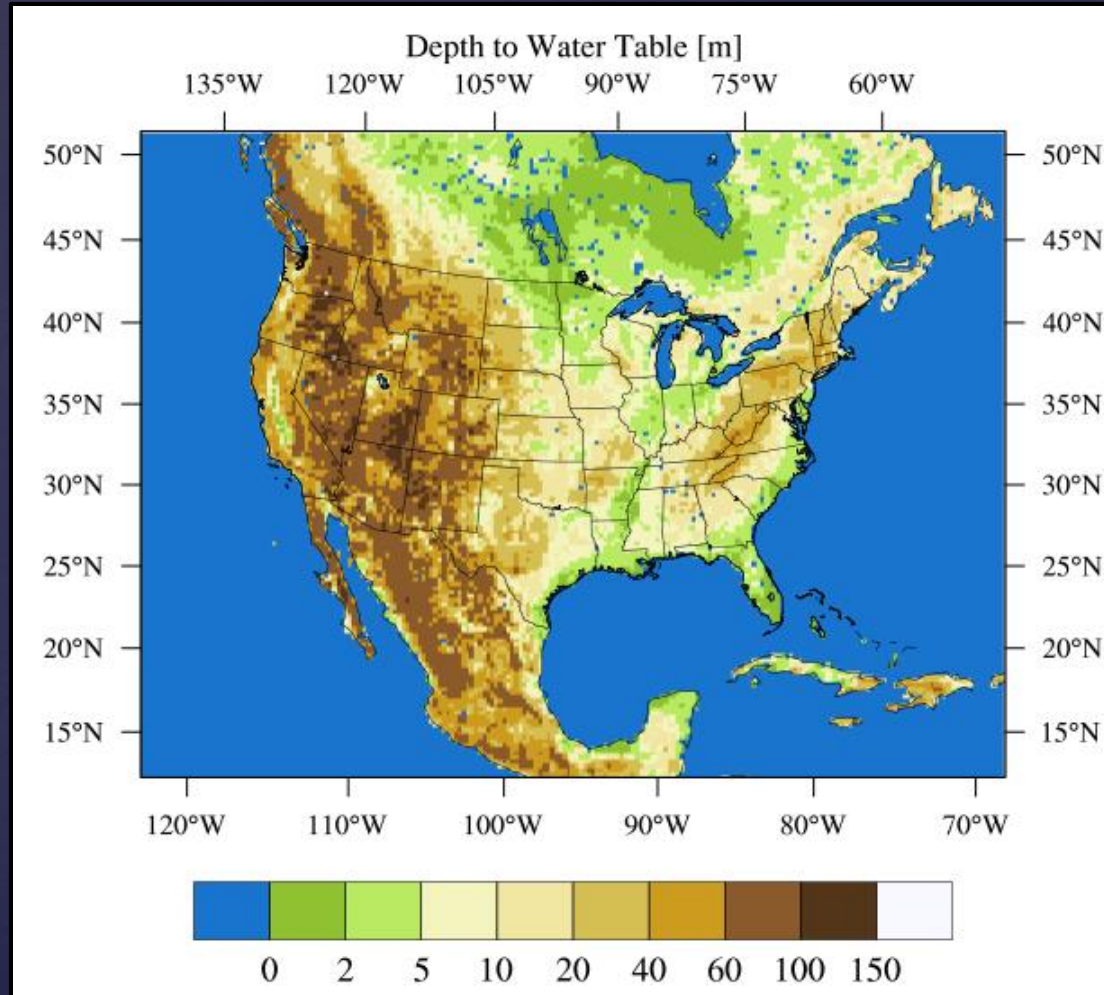
Plan



Fan et al, JGR 2007  
Miguez-Macho et al.,  
JGR 2007



# Depth to Water Table

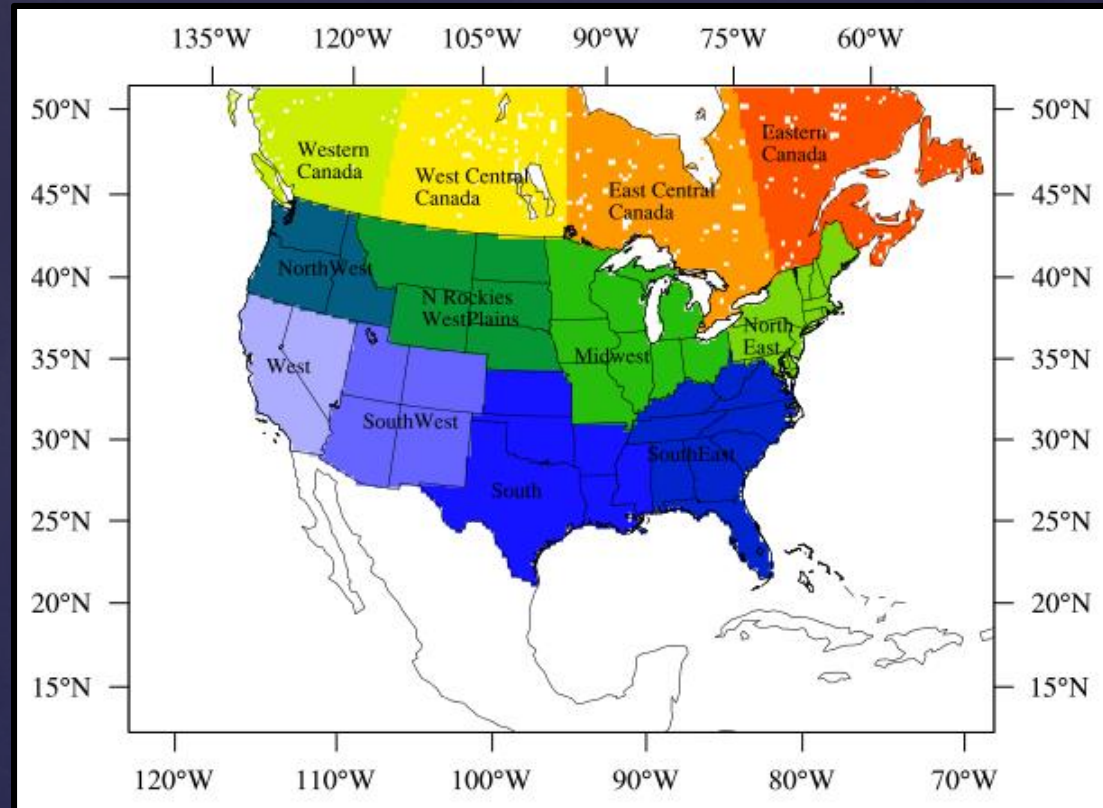


Looks similar to terrain

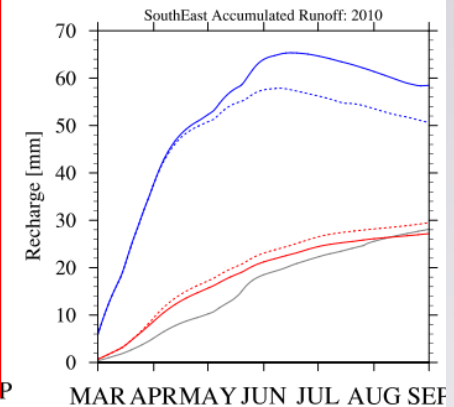
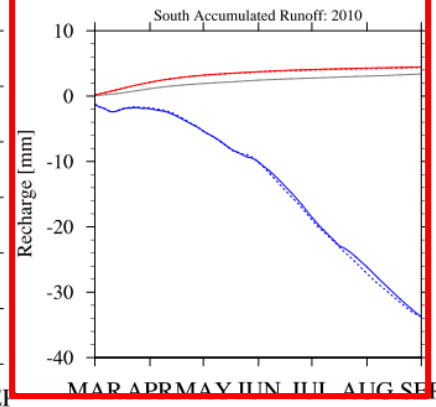
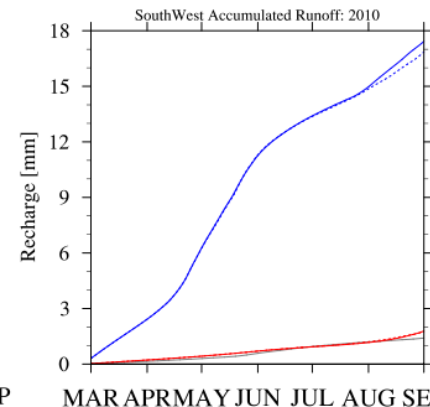
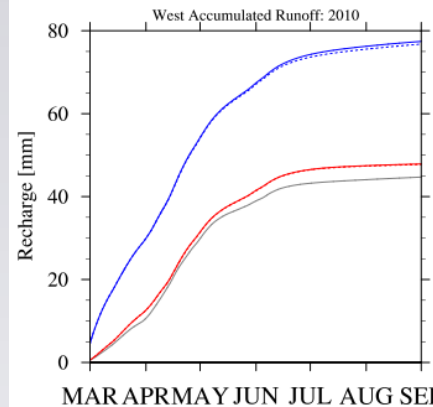
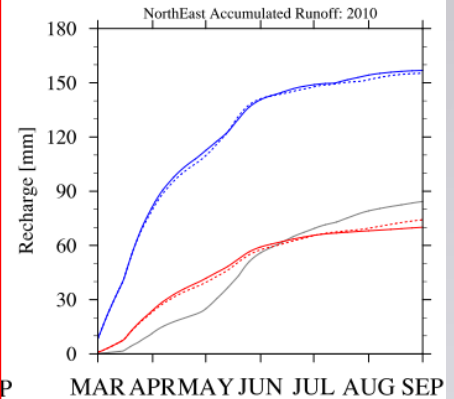
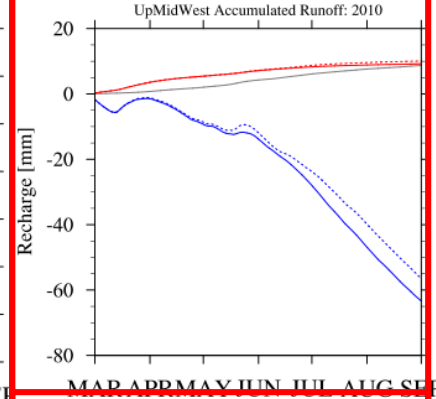
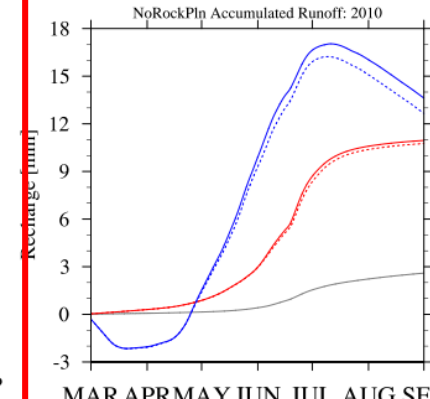
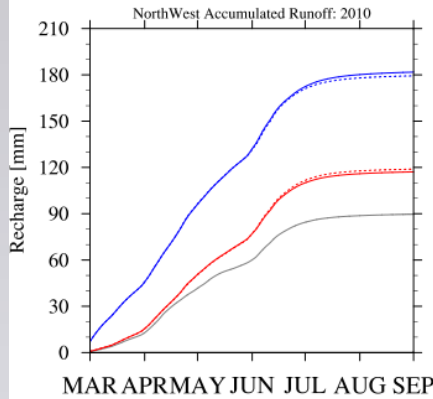
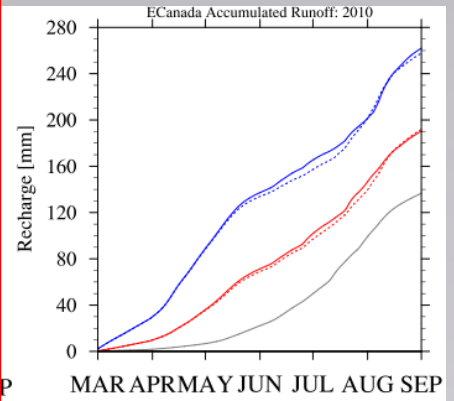
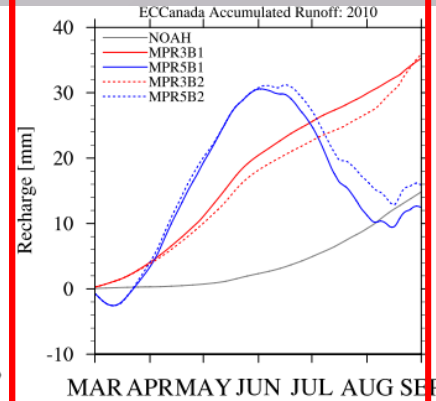
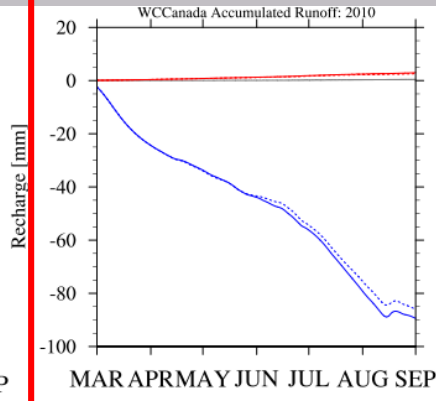
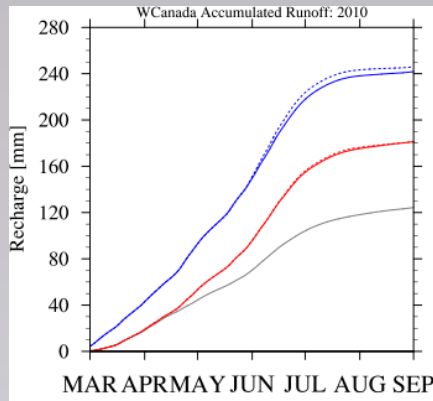
Focus of this presentation are locations with shallow water table

# Analysis Regions

- Based on NCDC Regional Climate Zones
- Observations: METAR/SYNOP stations, NCDC daily gridded precip



# Regional Groundwater Recharge



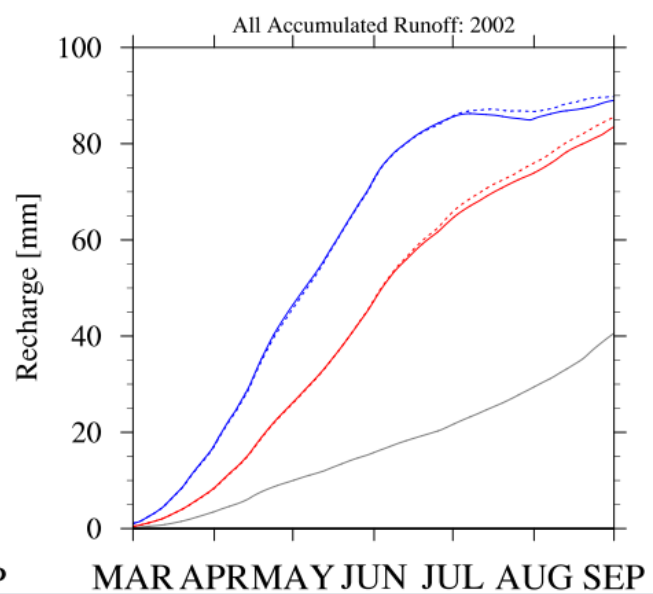
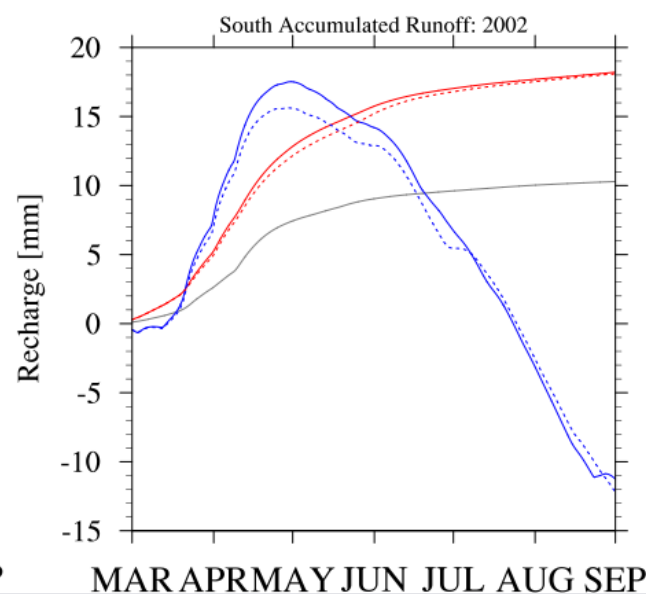
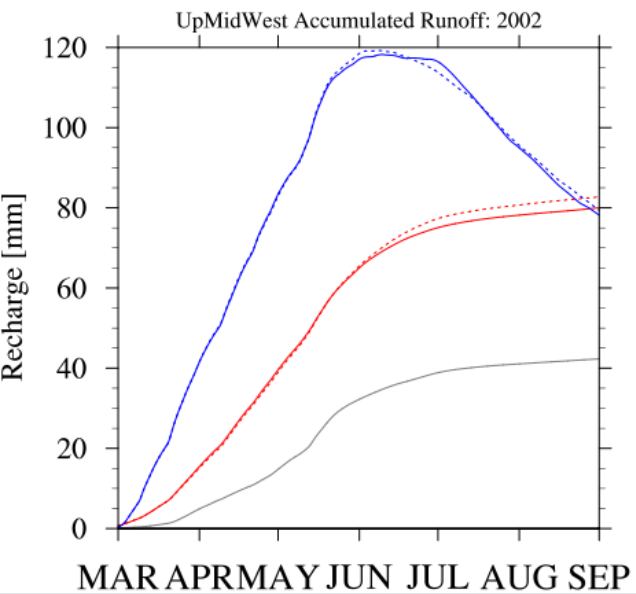
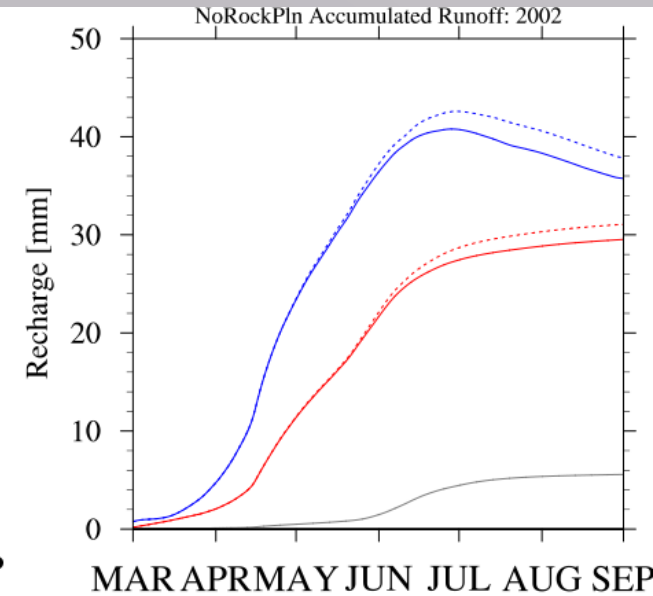
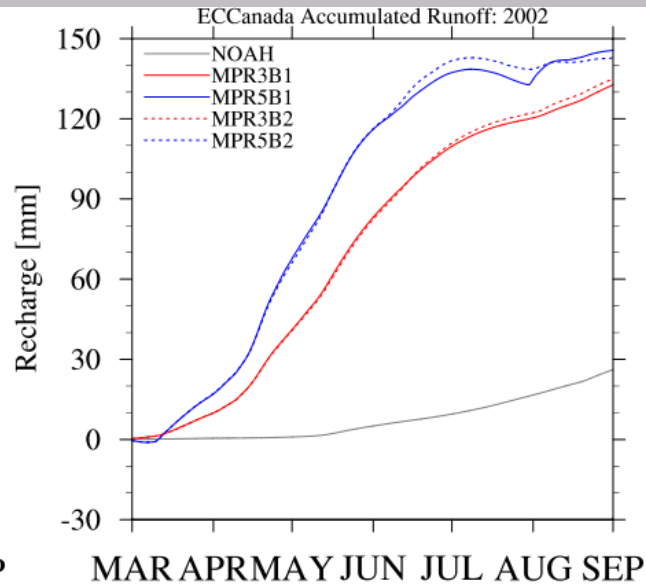
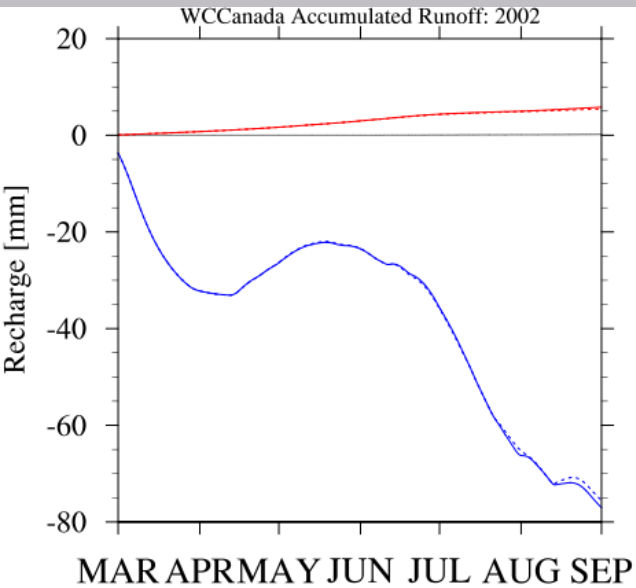
Noah

Noah-MP R3

Noah-MP R5



# Regional Groundwater Recharge: 2002

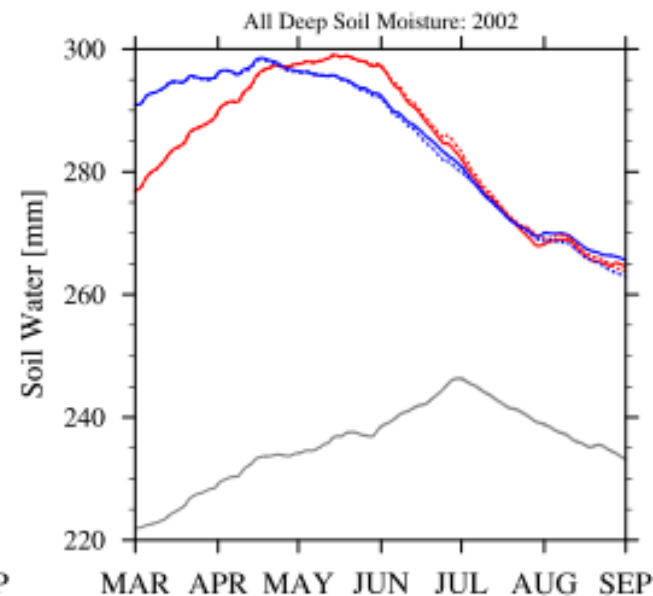
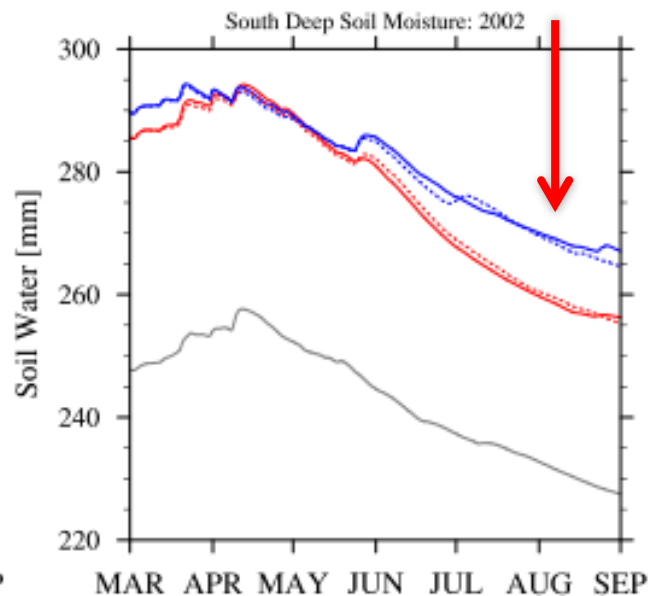
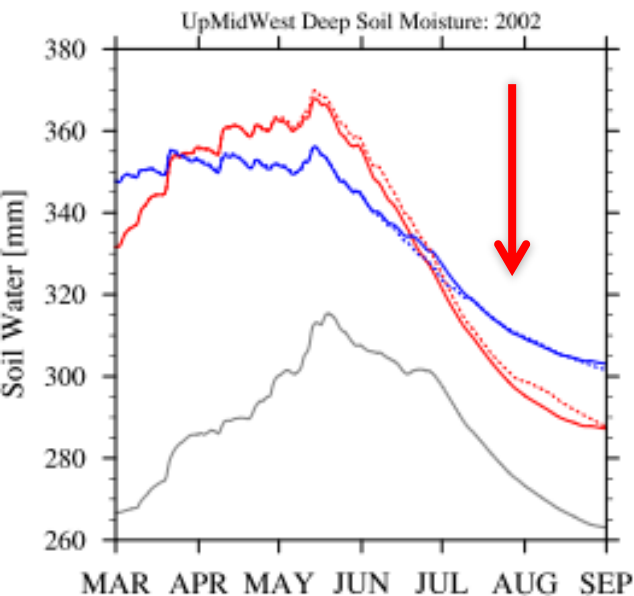
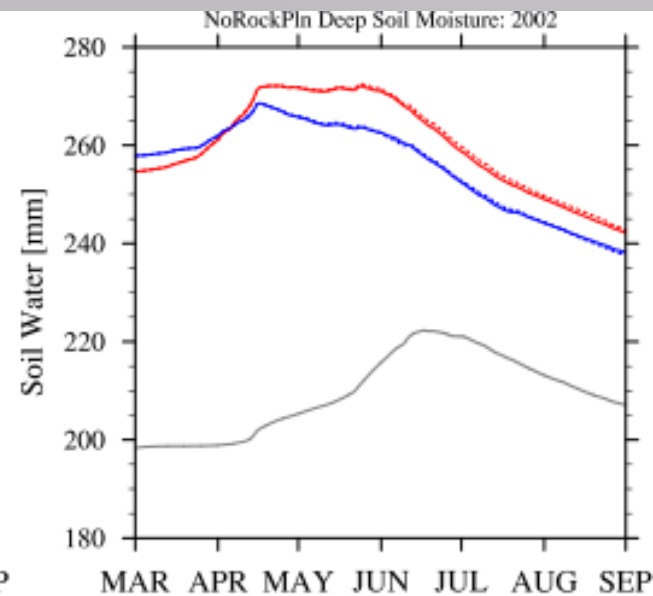
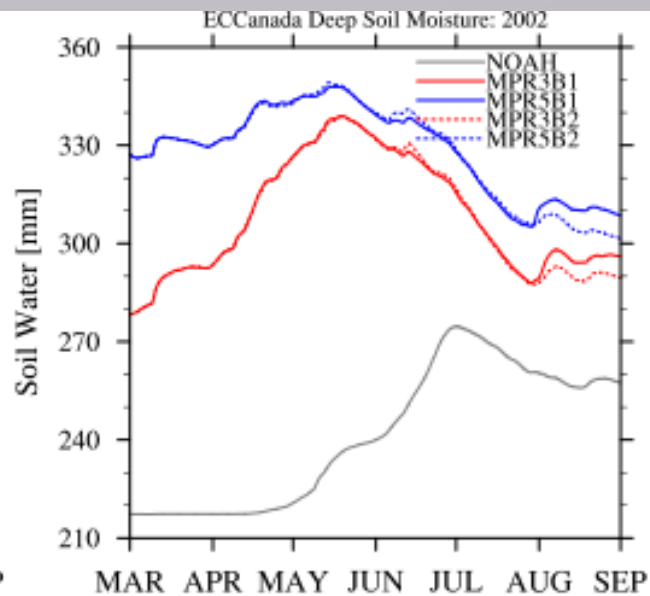
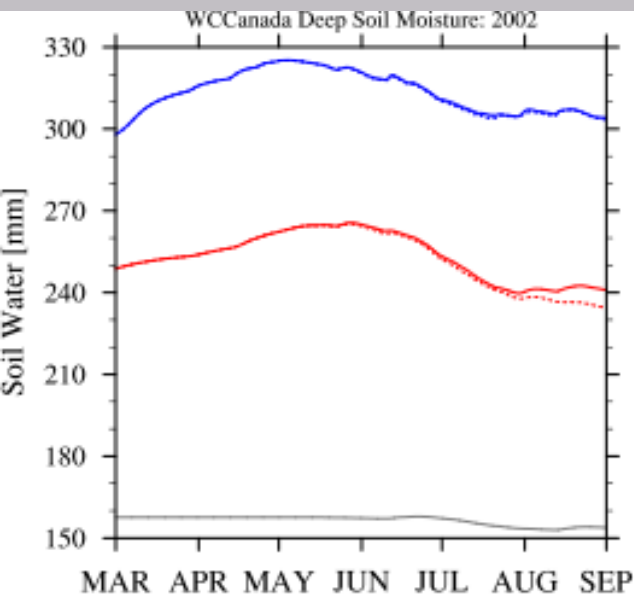


Noah

Noah-MP R3

Noah-MP R5

# Regional Deep Soil Moisture: 2002



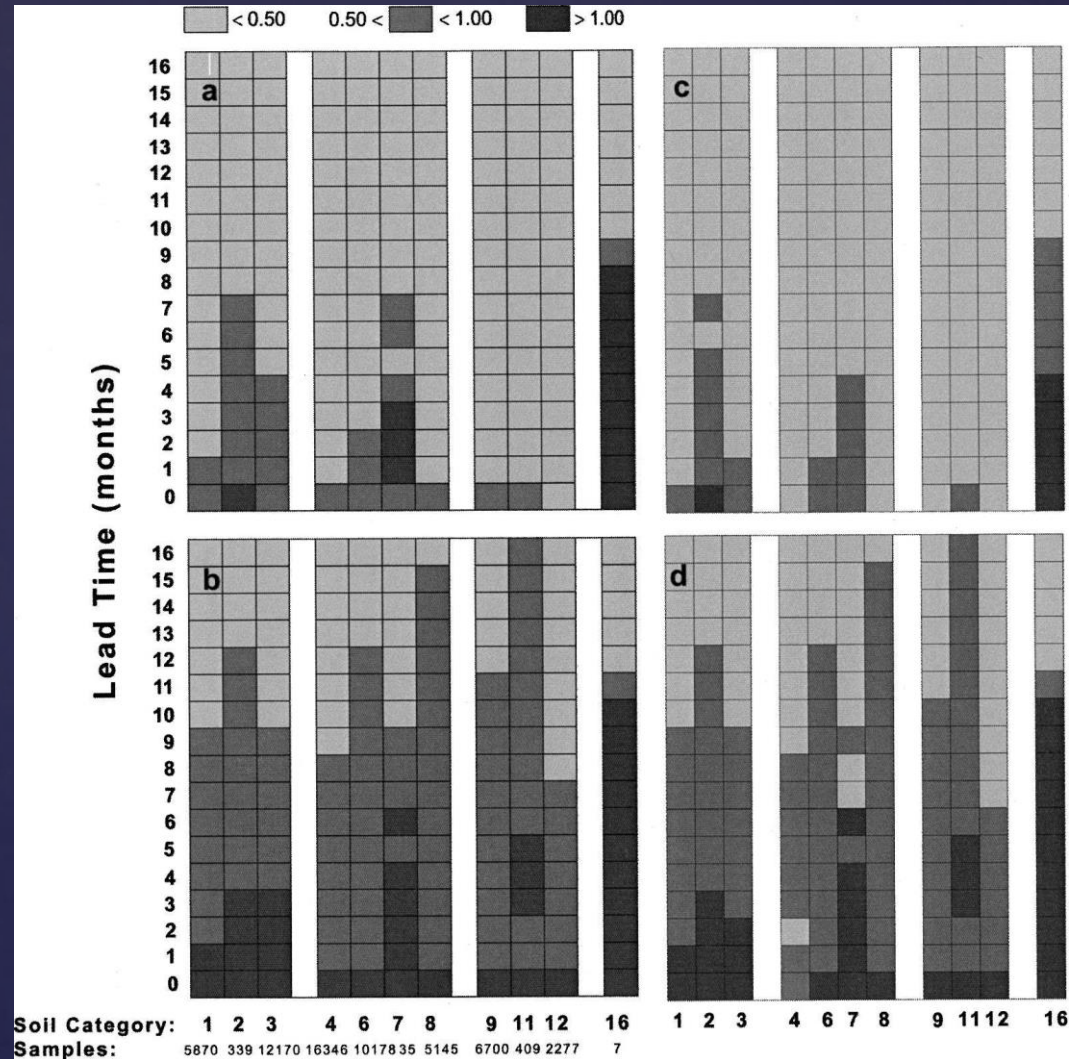
Noah

Noah-MP R3 Noah-MP R5

# Initialization Uncertainty

## Importance of Initialization Consistency

- Before running a model, significant spin-up is required to ensure initialization consistency
- For soil temperature, this can require a year or more

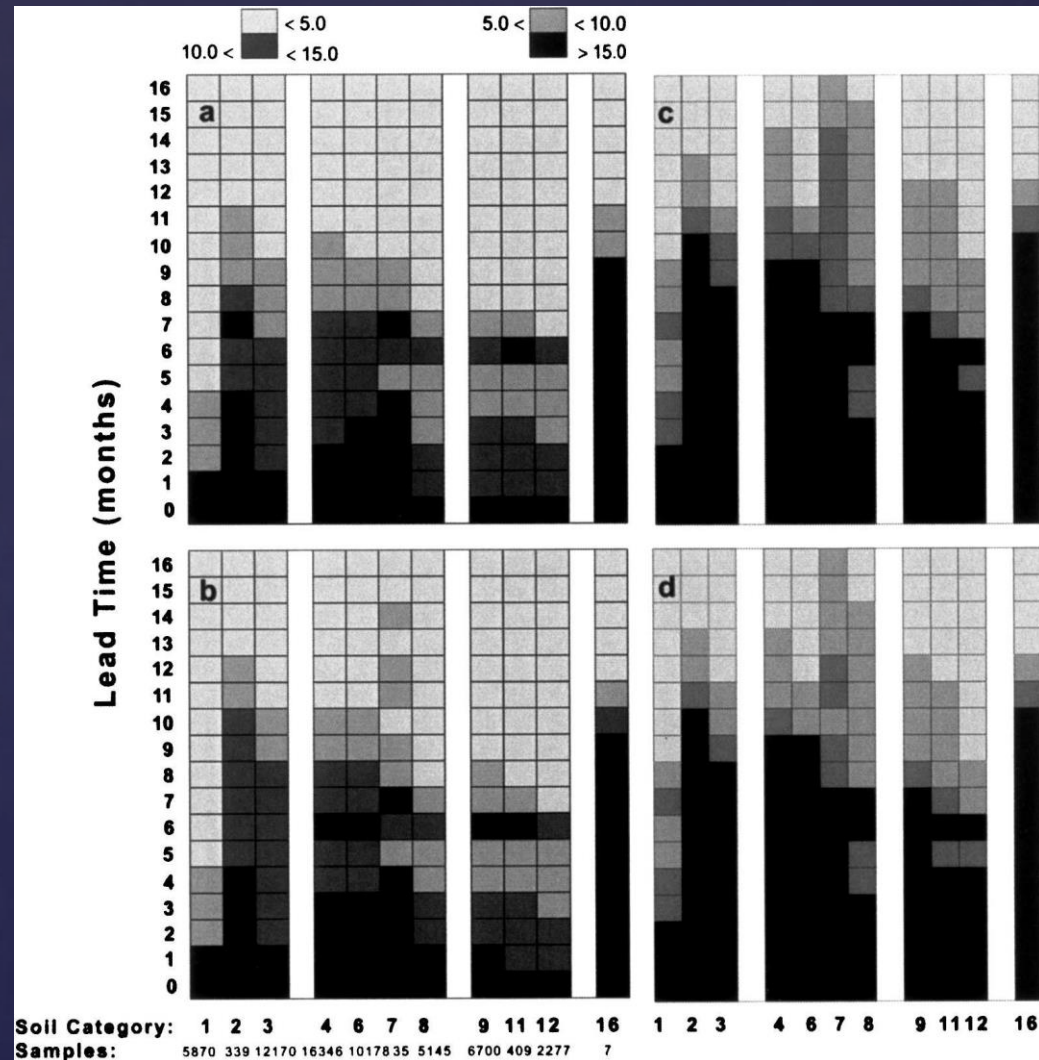




# Initialization Uncertainty

## Importance of Initialization Consistency

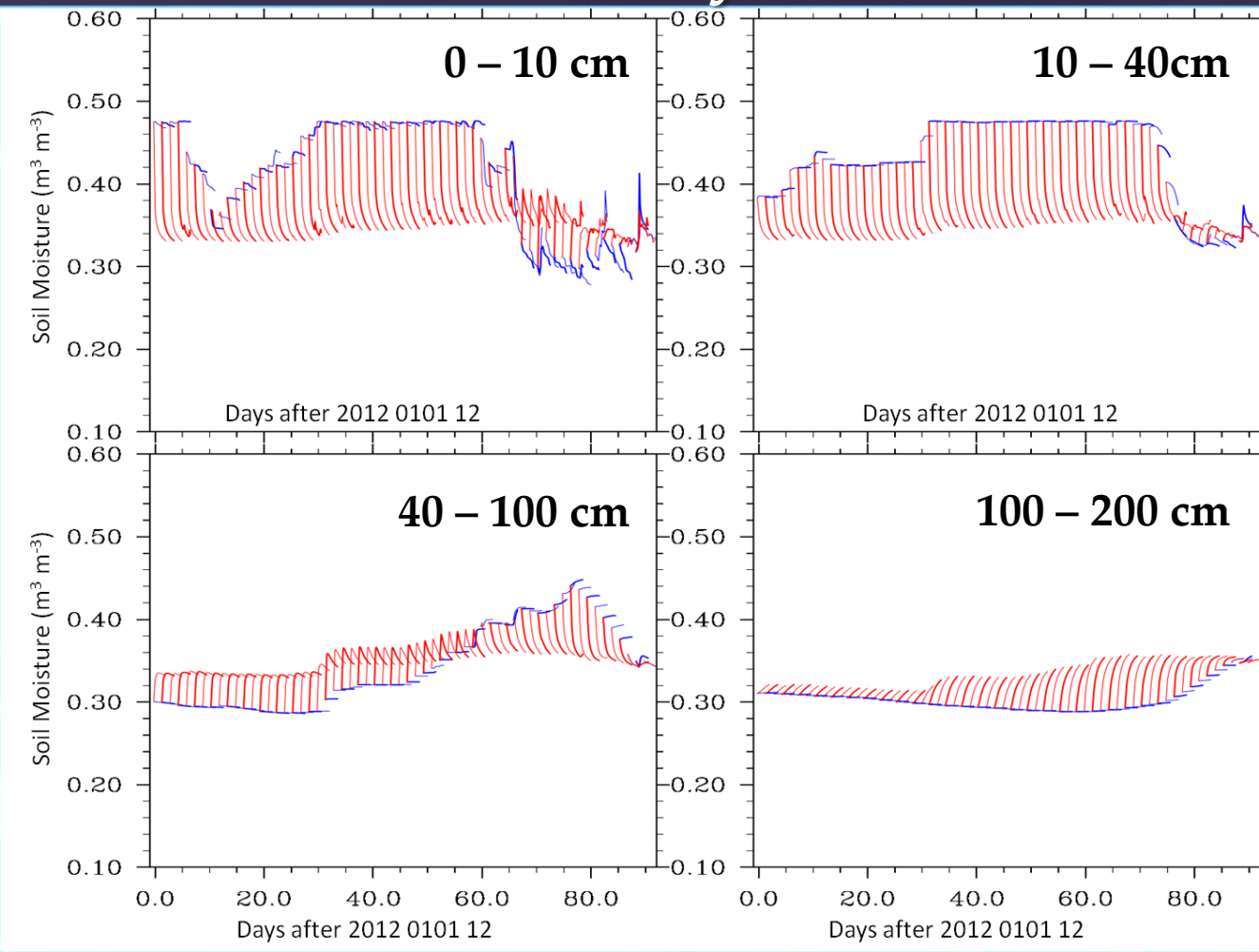
- Before running a model, significant spin-up is required to ensure initialization consistency
- For surface fluxes, this can require even more time



# Initialization Uncertainty

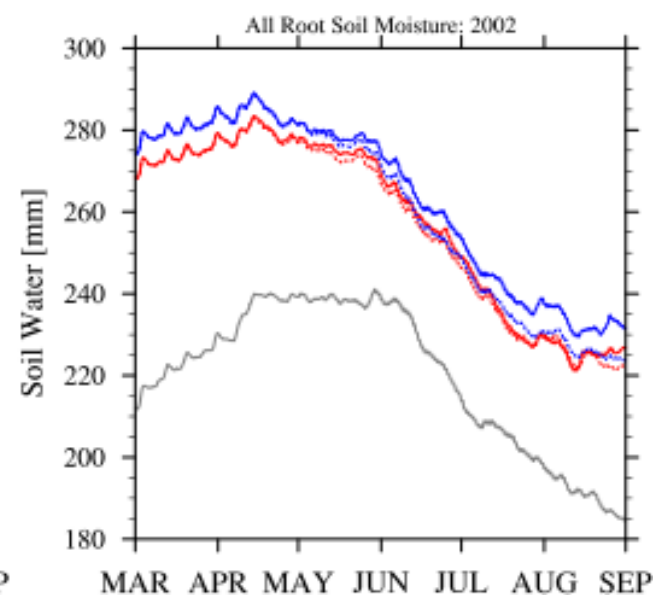
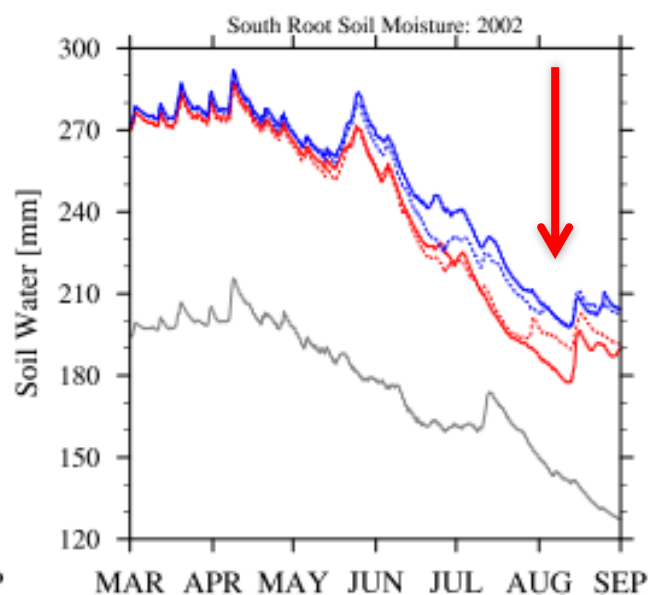
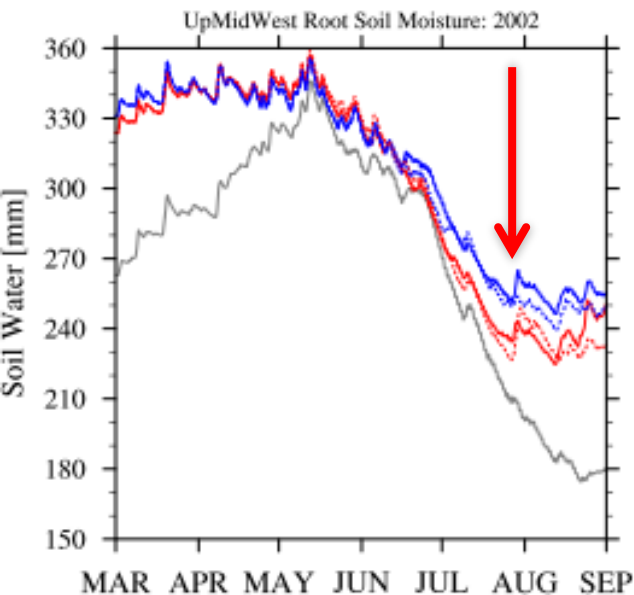
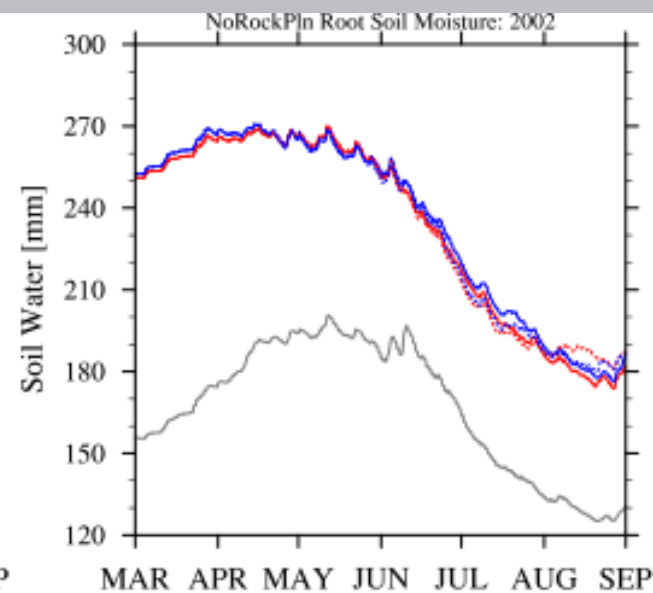
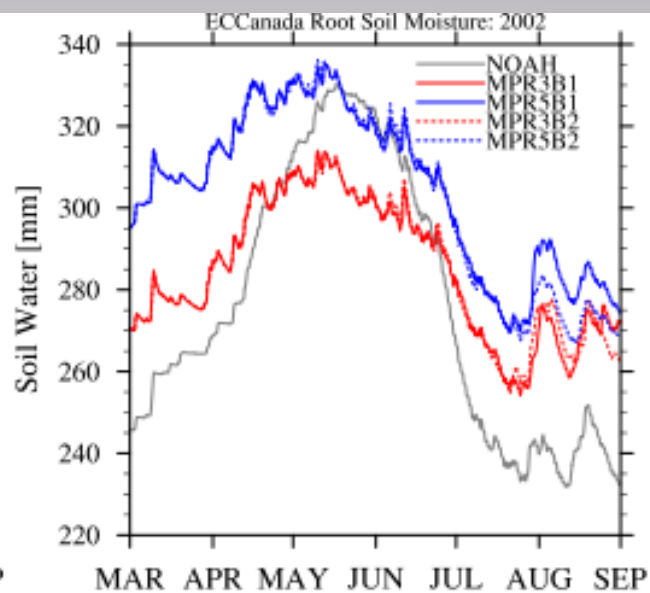
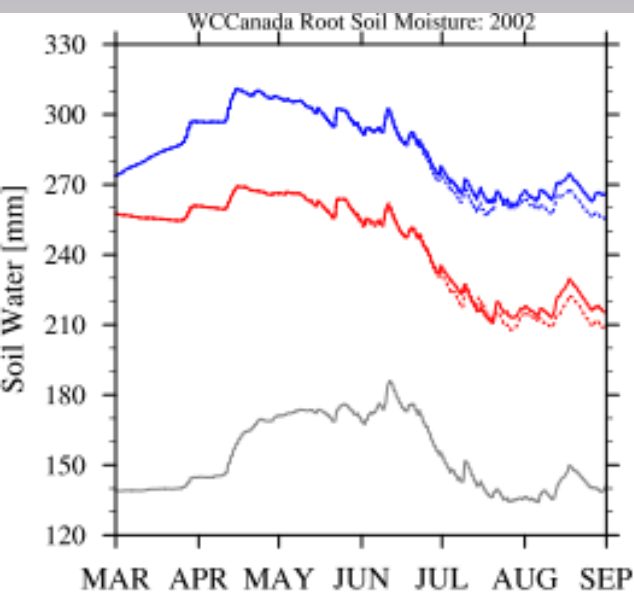
## Importance of Initialization Consistency

### Soil Moisture by Level



Noah in blue  
Noah-MP in red

# Regional Root Soil Moisture: 2002



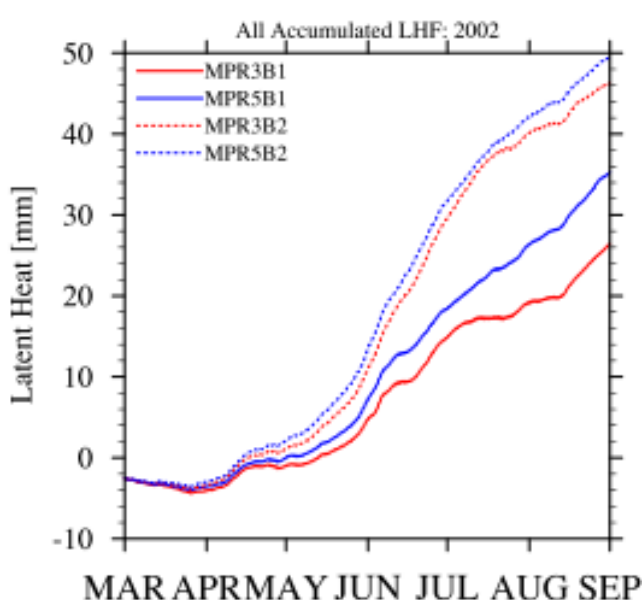
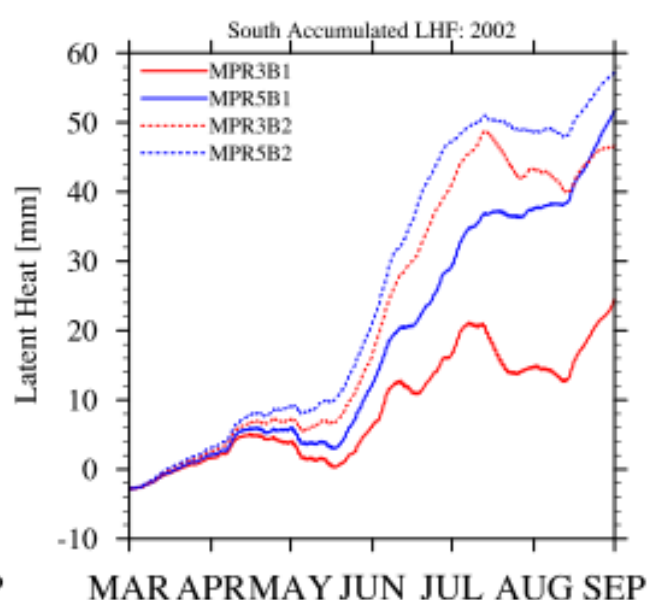
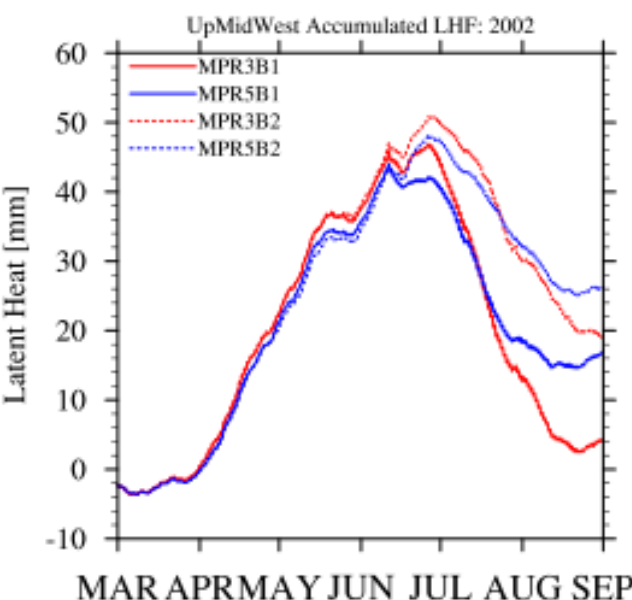
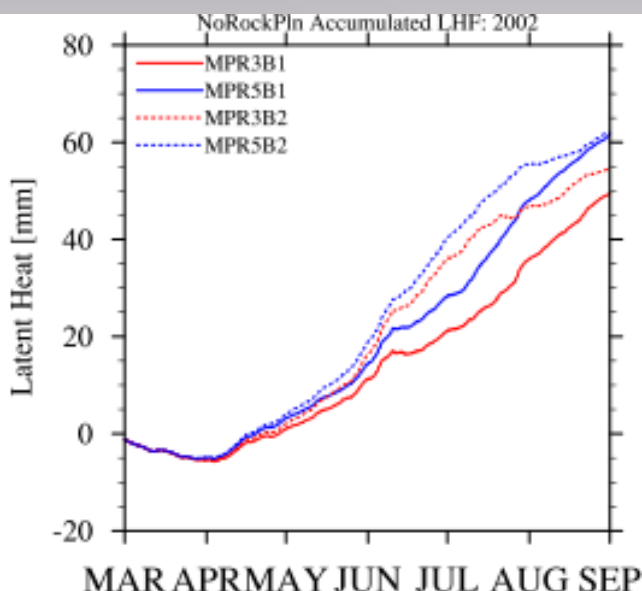
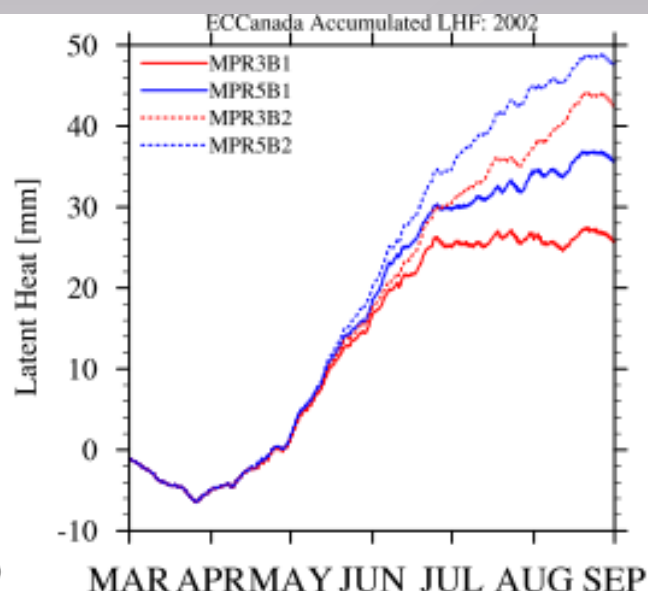
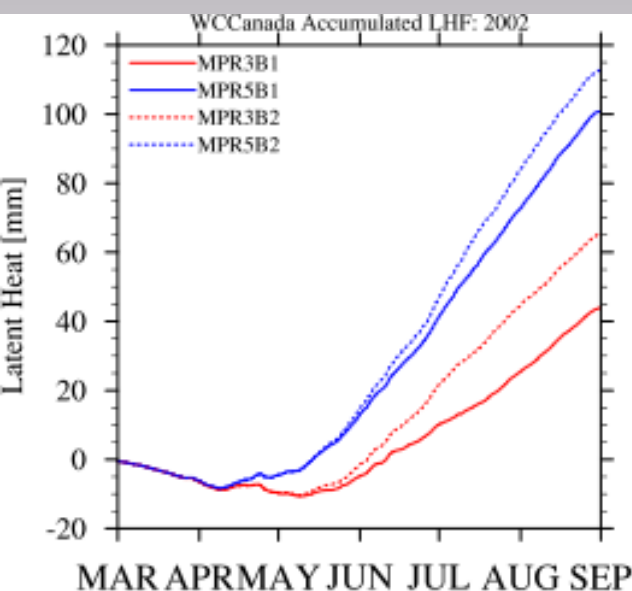
Noah

Noah-MP R3

Noah-MP R5



# Regional Latent Heat Flux: 2002



Noah

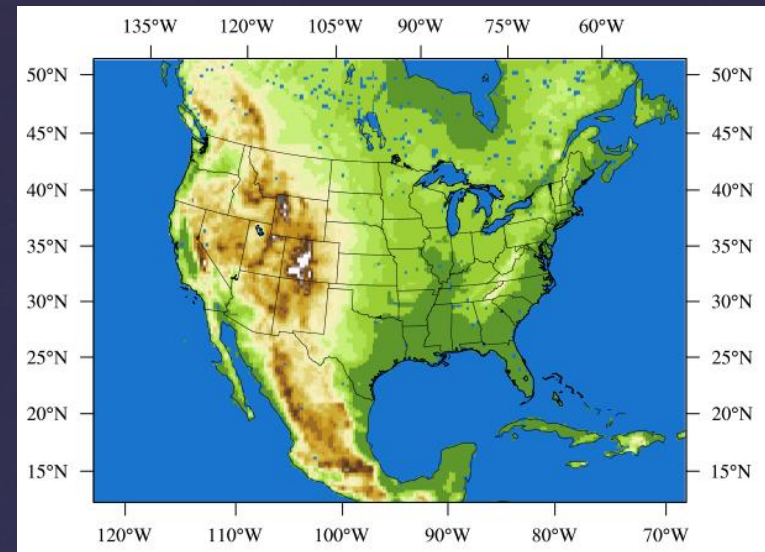
Noah-MP R3

Noah-MP R5

# Noah vs. NoahMP

## Surface Verification

- Six-month 30km WRF simulations - 2010
- Spin-up soil for one year using offline HRLDAS
- IC/BC from NARR
- Verification against ~2600 surface stations



Model	Season	Output field	Day bias	Day RMSE	Night bias	Night RMSE
Noah	MAM	T <sub>2m</sub>	-2.79	3.18	-1.95	2.17
Noah-MP	MAM	T <sub>2m</sub>	0.17	0.92	-0.01	0.77
Noah	JJA	T <sub>2m</sub>	-0.04	0.75	-1.04	1.37
Noah-MP	JJA	T <sub>2m</sub>	1.09	1.53	0.13	0.94
Noah	MAM	Td <sub>2m</sub>	-0.48	1.16	-1.29	1.64
Noah-MP	MAM	Td <sub>2m</sub>	0.19	1.04	0.48	1.01
Noah	JJA	Td <sub>2m</sub>	-0.98	1.53	-1.73	2.08
Noah-MP	JJA	Td <sub>2m</sub>	-1.18	1.84	-1.00	1.57

Green: Noah-MP improves Red: Noah-MP degrades

# Development of WRF-Crop

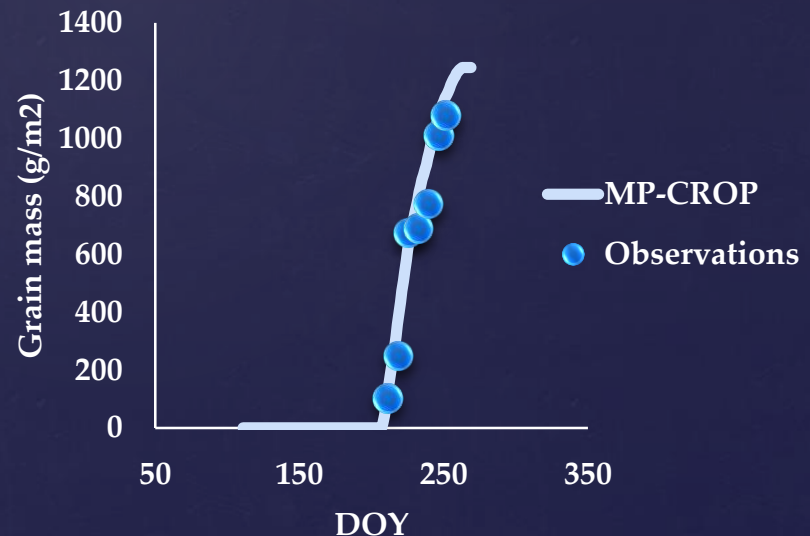
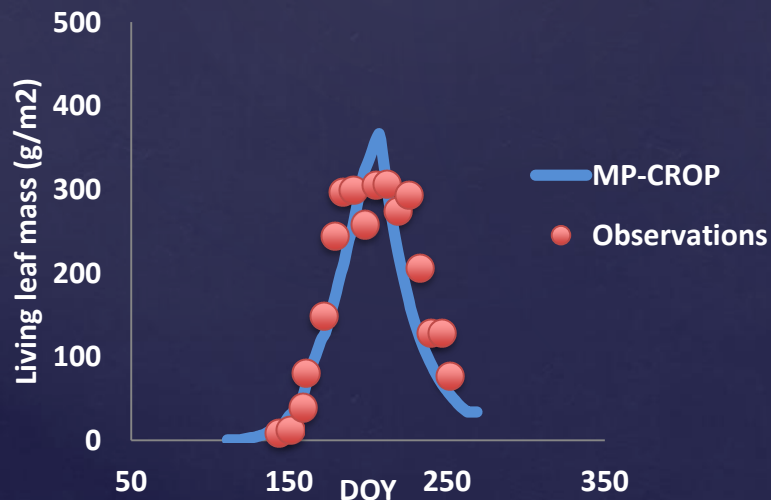
- Built upon the WRF-Hydro and Noah-MP ensemble modeling framework
- Extend the dynamic vegetation model in Noah-MP to parameterize crop yield
  - Noah-MP photosynthesis-based dynamic vegetation allocates carbon to leaves, stems, grain, roots and wood as well as fast and slow soil carbon pools
  - Incorporate a whole suite of crop growth modules (rice, corn, wheat, sorghum, soybean, etc.)
- Extend WRF-Hydro to parameterize irrigation
  - A groundwater transfer and storage with dynamic water table depth
  - Aquifer water recharges lowest soil layers and can also added to surface water as irrigation



# Development of WRF-Crop

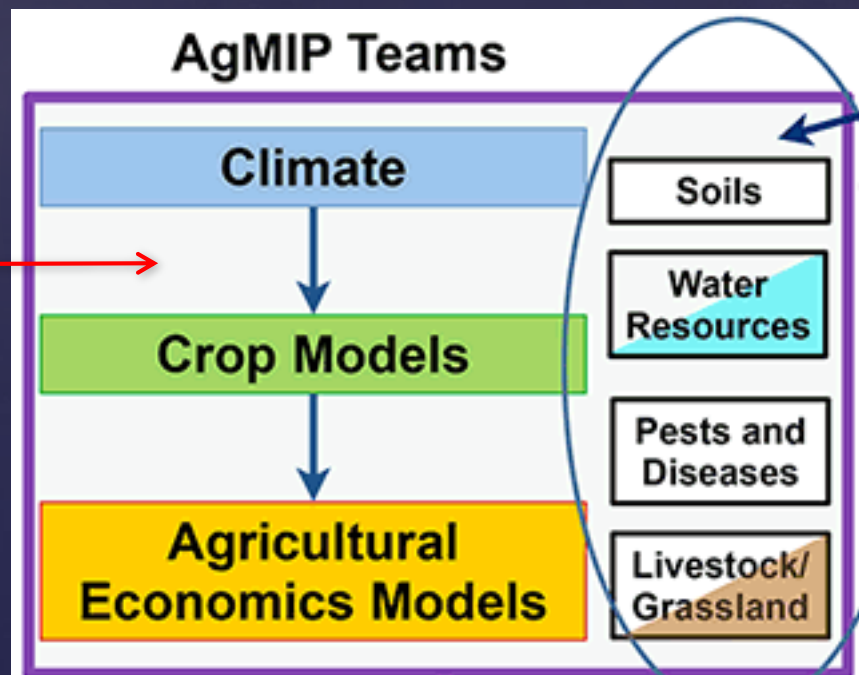


*Noah-MP predicted green leaf mass (left) and grain mass (right) at an Illinois site (corn)*



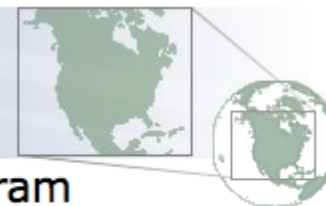
# Model Intercomparison

- Land modelers like to do “intercomparison projects”
- This is essentially a method of “fair” performance evaluation.
- Yield modelers like to do this too: the Agricultural Model Intercomparison Project



Note the lack  
of two-way  
interaction

# NARCCAP



## North American Regional Climate Change Assessment Program

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## About NARCCAP

### About the Program

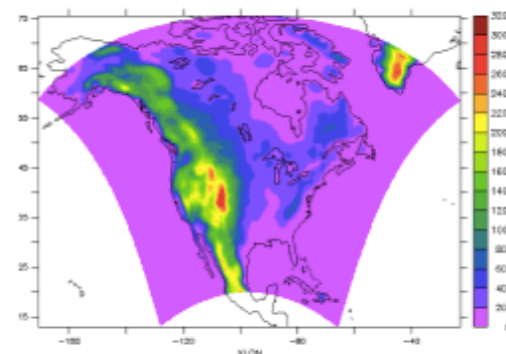
The North American Regional Climate Change Assessment Program (NARCCAP) is an international program to produce high resolution climate change simulations in order to investigate uncertainties in regional scale projections of future climate and generate climate change scenarios for use in impacts research.

NARCCAP modelers are running a set of regional climate models (RCMs) driven by a set of atmosphere-ocean general circulation models (AOGCMs) over a domain covering the conterminous United States and most of Canada.

The AOGCMs have been forced with the [SRES A2 emissions scenario](#) for the 21st century. Simulations with these models were also produced for the current (historical) period. The RCMs are nested within the AOGCMs for the current period 1971-2000 and for the future period 2041-2070. As a preliminary step to evaluate the performance of the RCMs over North America, the RCMs are driven with [NCEP Reanalysis II](#) data for the period 1979-2004. All the RCMs are run at a spatial resolution of 50 km.

[RCM Characteristics](#) — [AOGCM Characteristics](#) — [RCM/GCM combinations](#).

NARCCAP also includes two [timeslice experiments](#) at 50 km resolution using the GFDL atmospheric model (AM2.1) and the NCAR CCSM atmospheric model (CAM3). In a timeslice experiment, the atmospheric component of an AOGCM is run using observed sea surface temperatures and sea ice boundaries for the historical run, and those same observations combined with perturbations from the future AOGCM for the scenario run. Omitting the coupled ocean model saves considerable computation and allows the atmospheric model to be run at higher resolution.





# Thoughts

- Regional climate models contain many sources of uncertainty
- We need to be able to assess and communicate the effect of these uncertainties on model output
- A good start is with ensemble multi-model, and perturbed parameter and initialization approaches
- Tools are being developed to address this (e.g., multi-model models)
- What information is useful?